

# **CH4103 Organic and Biological Chemistry**

## **LCM Lectures 1-8**

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**Autumn Semester**



# Unit 1: Recap

**In Unit 1 with Dr Elliott you have learnt some key fundamentals in O-Chem:**

- Drawing and naming organic compounds (Lecture 1)
- Molecular shape and hybridisation -  $sp^3$ ,  $sp^2$  and  $sp$  (Lecture 2)
- Constitutional and stereoisomers (Lectures 3-7)
- Conformations of acyclic and cyclic organic structures (Lectures 4 and 5)
- Conjugation and resonance (Lecture 8)
- Reactive intermediates – carbocations, carbanions and radicals (Lectures 8 and 9)
- Acids and bases – pH and  $pK_a$  (Lecture 9)

**These are the first tools in your synthetic toolbox and are essential knowledge – please revise these topics diligently. Further supporting learning materials can be found on Learning Central and within *Organic Chemistry 2<sup>nd</sup> Ed.* (J. Clayden, N. Greeves and S. Warren) – Chapters 1-8.**

## Unit 2: Lecture Synopsis

- **Lecture 1: Describing an Organic Reaction.** Homolytic vs heterolytic bond breaking, bond dissociation energy (BDE), enthalpy and  $\Delta H^\circ$ , entropy and  $\Delta S^\circ$ , Gibbs free energy and  $\Delta G^\circ$ , equilibria.
- **Lecture 2: Reaction Kinetics and the Hammond Postulate.** Differentiating thermodynamics and kinetics, rate laws, activation energy ( $E_a$ ), the Arrhenius equation, free energy diagrams, intermediates and transition states, the Hammond postulate.
- **Lecture 3: Curly Arrows for Electron Movement.** How molecules interact, nucleophiles and electrophiles, use of curly arrows to represent electron movement, curly arrows for nucleophilic attack / substitution, loss of a leaving group / elimination, proton transfers and carbocation rearrangements.
- **Lectures 4 and 5: Introduction to Substitution Reactions –  $S_N1$  and  $S_N2$ .** Rate laws, free energy diagrams, curly arrow pushing mechanisms, molecular orbital analysis, intermediates and transition states, regioselectivity, stereoselectivity, factors that determine mechanism (substrate, nucleophile, solvent and leaving group).

## Unit 2: Lecture Synopsis

- **Lecture 6 and 7: Introduction to Elimination Reactions – E1, E1<sub>CB</sub> and E2.** Rate laws, free energy diagrams, curly arrow pushing mechanisms, molecular orbital analysis, intermediates and transition states, regioselectivity, stereoselectivity, factors that determine mechanism (substrate, nucleophile, solvent and leaving group).
- **Lecture 8: Predicting Substitution vs Elimination.** Synthetic analysis and strategy – how to predict which type of substitution or elimination mechanism will dominate under a given set of reaction conditions.
- **Feedback Tutorial:** Questions on Lectures 1-3.
- **Workshop:** Answers to be completed beforehand. Feedback provided during workshop. Questions on Lectures 1-5.
- **In Unit 1 we learnt how to draw organic molecules realistically and what determines molecular shape and structure. In Unit 2 we will learn how these organic molecules can react together and how molecular shape and structure determine reactivity, adding yet more tools to our synthetic toolbox.**

## Unit 2: Additional Resources

- **Recommended Reading:** *Organic Chemistry 2<sup>nd</sup> Ed.* (J. Clayden, N. Greeves and S. Warren, Oxford University Press, 2012, ISBN 978-0-19-927029-3). Chapters 6, 12, 15 and 17. Specific chapters and pages will be indicated as further reading in each lecture. Practice questions at <http://www.oxfordtextbooks.co.uk/orc/clayden2e/> username: clayden2e password: compound
- **Molecular Model Kits:** These are available from the teaching labs and are invaluable for all organic chemistry courses. It is highly recommended that you make good use of these to visualise the molecules discussed in this course.
- **Learning Central:** I have set up a folder on Learning Central that will contain all information for this course including handouts and lecture capture. I have also set up a number of online tests that will reinforce your learning throughout the course.
- **Tutorials and Workshops:** In addition to the questions set, please take advantage of these opportunities to ask questions about any aspect of the course.
- **Me:** Should you not be able to find an answer to a question that specifically relates to this course, please email (MorrillLC@cardiff.ac.uk) or visit (1.47B) anytime. **In addition, I will specifically keep Monday 4-6pm free each week for office visits.**

# **CH4103 Organic and Biological Chemistry**

## **LCM Lecture 1**

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# Lecture 1 Preparation

 **recap** To best prepare yourself for the contents of this lecture, please refresh  **recap**

- Bonding in organic compounds (Unit 1, Lecture 2)
- Electronegativity and bond polarisation (Unit 1, Lecture 3)
- Reactive intermediates – radical stability (Unit 1, Lecture 8)

# Lecture 1: Describing Organic Reaction Mechanisms

## Key learning objectives:

- Understand the difference between homolytic vs heterolytic bond breaking
- Understand the importance of bond dissociation energy (BDE) how these values relate to bond strength and radical stability
- Enthalpy and  $\Delta H^\circ$  – predicting  $\Delta H^\circ$  for a chemical reaction, identifying a reaction as exothermic or endothermic, practical implications
- Entropy and  $\Delta S^\circ$  – the change in disorder during a process
- Gibbs free energy and  $\Delta G^\circ$  – determining whether a process is exergonic or endergonic
- Equilibria and its relationship to  $\Delta G^\circ$

# Homolytic and Heterolytic Bond Breaking

- Bonds can be broken **homolytically** or **heterolytically**.
- Homolytic bond cleavage (Homolysis):** When a bond breaks and the atoms get one bonding electron each. The products formed are **radicals**, which may be atoms or molecules, but must contain an unpaired electron.



- Heterolytic bond cleavage (Heterolysis):** When a bond breaks and one atom gets both bonding electrons. The products formed are **ions**.



- Bond dissociation energy (BDE)** for bond breaking represents the energy required for **homolytic** cleavage

# Bond Dissociation Energies

- Bond dissociation energy** for bond breaking represents the energy associated with **homolytic** cleavage



- The bond dissociation energies (BDEs) quoted in tables represent the homolytic breaking of a bond into two **radicals**.
- Therefore the bond dissociation energy reflects the stability of the radicals formed.
- High BDE = Strong Bond = Poorly stabilised radicals formed e.g.  $\text{H}^\bullet$ ,  $\text{Me}^\bullet$ ,  $\text{Ph}^\bullet$  etc.
- Low BDE = Weak Bond = Highly stabilised radicals formed e.g.  $t\text{-Bu}^\bullet$ ,  $\text{Bn}^\bullet$ ,  $\text{I}^\bullet$  etc.

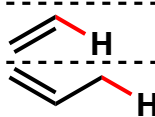
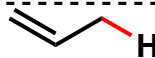


**For a recap of radical stabilisation, please refer to MCE lecture 8**



# Bond Dissociation Energies

- Bond dissociation energy** for a selection of common bonds. The formation of highly stabilised radicals (e.g. *t*-Bu<sup>•</sup>, Bn<sup>•</sup>, I<sup>•</sup>) leads to lower BDEs

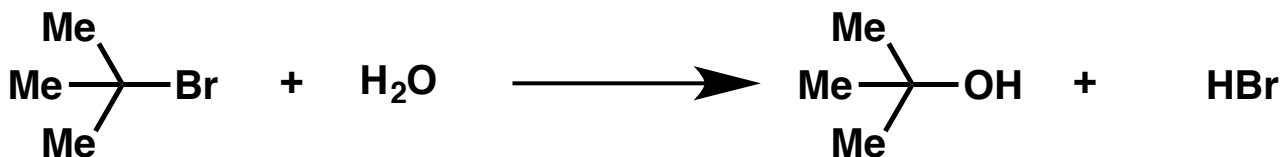
	kJ/mol		kJ/mol		kJ/mol		kJ/mol	
	Bonds to H		Bonds to Me		Bonds to <i>i</i> -Pr		C-C Bonds	
	H—H	435	Me—H	435	<i>i</i> -Pr—H	397	Me—Me	368
	Me—H	435	Me—F	456	<i>i</i> -Pr—F	444	Me—Et	356
	Et—H	410	Me—Cl	351	<i>i</i> -Pr—Cl	335	Me— <i>i</i> -Pr	351
	<i>i</i> -Pr—H	397	Me—Br	293	<i>i</i> -Pr—Br	285	Me—CH=CH <sub>2</sub>	385
	<i>t</i> -Bu—H	381	Me—I	234	<i>i</i> -Pr—I	222	Me—C≡CH	489
	Ph—H	473						
	Bn—H	356						
		464						
		364	Bonds to Et		Bonds to <i>t</i> -Bu		X-X Bonds	
	F—H	569	Et—H	410	<i>t</i> -Bu—H	381	F—F	159
	Cl—H	431	Et—F	448	<i>t</i> -Bu—F	444	Cl—Cl	242
	Br—H	368	Et—Cl	339	<i>t</i> -Bu—Cl	331	Br—Br	192
	I—H	297	Et—Br	285	<i>t</i> -Bu—Br	272	I—I	151
	HO—H	498	Et—I	222	<i>t</i> -Bu—I	209	HO—OH	213

Decreasing  
BDE  
↓

Form highly stabilised  
radicals upon homolytic  
bond cleavage  
(*t*-Bu<sup>•</sup>, Bn<sup>•</sup>, Allyl<sup>•</sup>, I<sup>•</sup>)

# Predicting $\Delta H^\circ$ for a Reaction

- Enthalpy ( $H^\circ$ ) is a measure of heat.
- Change in enthalpy ( $\Delta H^\circ$ ) is the heat given out or taken up in a chemical reaction.
- By considering bond dissociation energies, we can predict the change in enthalpy ( $\Delta H^\circ$ ) for a given reaction.

*t*-Butyl bromide

Water

*t*-Butyl alcohol

hydrogen bromide

**Step 1: Identify the bonds broken/made and their associated BDEs**

**Bonds broken****BDE (kJ/mol)****Bonds formed****BDE (kJ/mol)***t*-Bu—Br

+272

*t*-Bu—OH

-381

HO—H

+498

Br—H

-368

**Step 2: Add the values for all bonds broken and formed**

**Energy in (Bonds Broken)**

= 272 + 498

= 770 kJ/mol

**Energy out (Bonds Formed)**

= -381 - 368

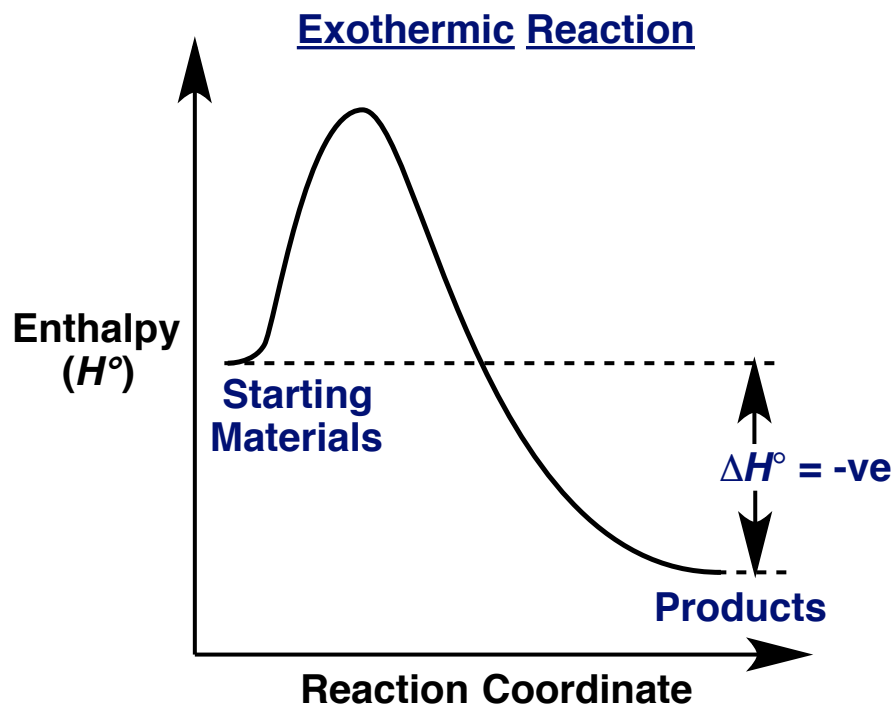
= -749 kJ/mol

**Step 3: Calculation**

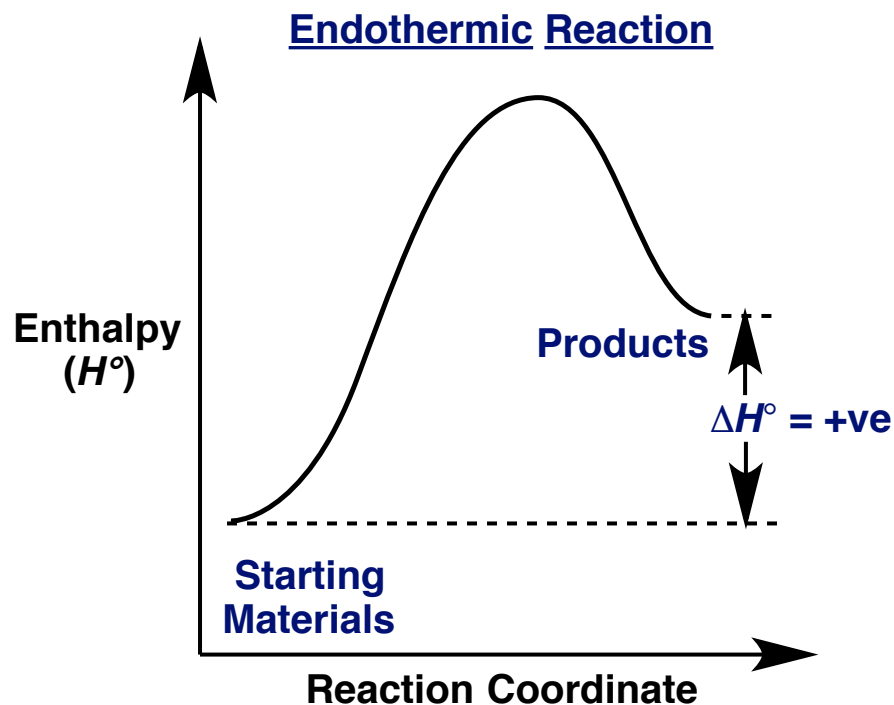
$\Delta H^\circ = \text{Energy in} + \text{Energy out} = 770 - 749 = +21 \text{ kJ/mol}$   
**Endothermic Reaction**

# Exothermic and Endothermic

- The change in enthalpy ( $\Delta H^\circ$ ) is the heat given out or taken up in a chemical reaction and indicates whether a given reaction is **exothermic** or **endothermic**.



The reaction has a negative  $\Delta H^\circ$  therefore exothermic and causes the surrounding temperature to **INCREASE**

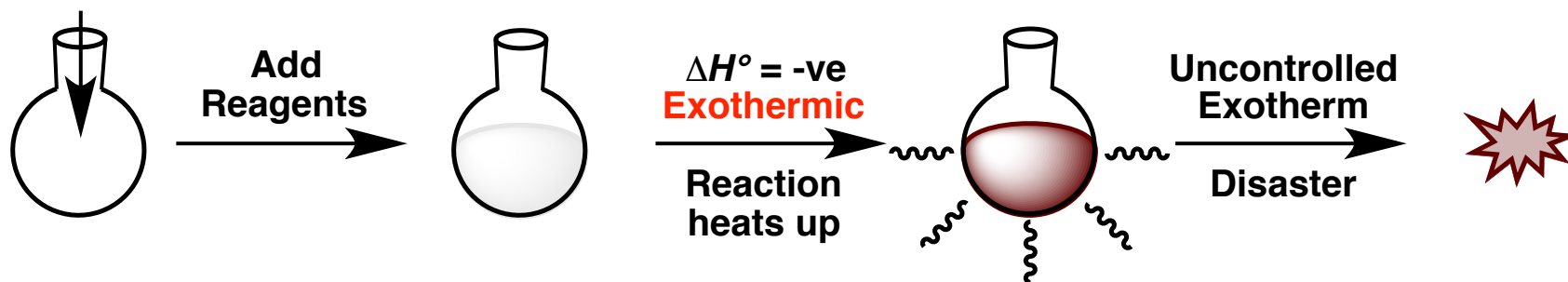


The reaction has a positive  $\Delta H^\circ$  therefore endothermic and causes the surrounding temperature to **DECREASE**

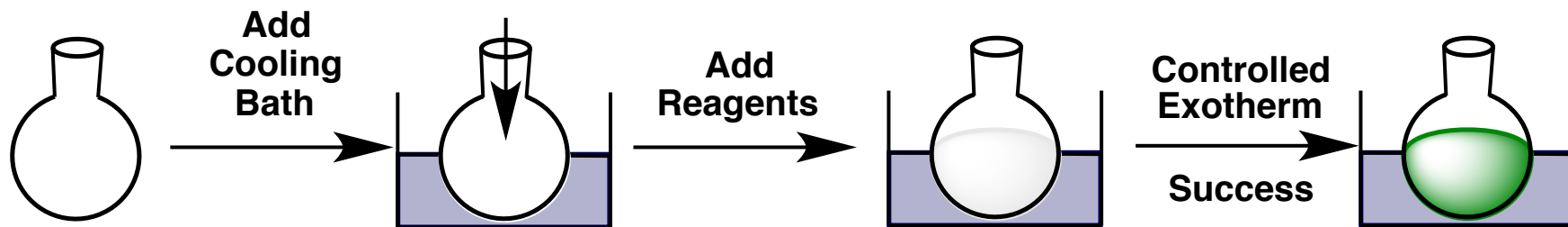
## Practical Importance of $\Delta H^\circ$

- In the lab, knowing whether  $\Delta H^\circ$  for a reaction is +ve (endothermic) or -ve (exothermic) can be very useful. Why?
- Let's first consider an **exothermic** reaction – i.e. you know the  $\Delta H^\circ$  is -ve.

Not accounting for potential exotherms = bad experimental technique



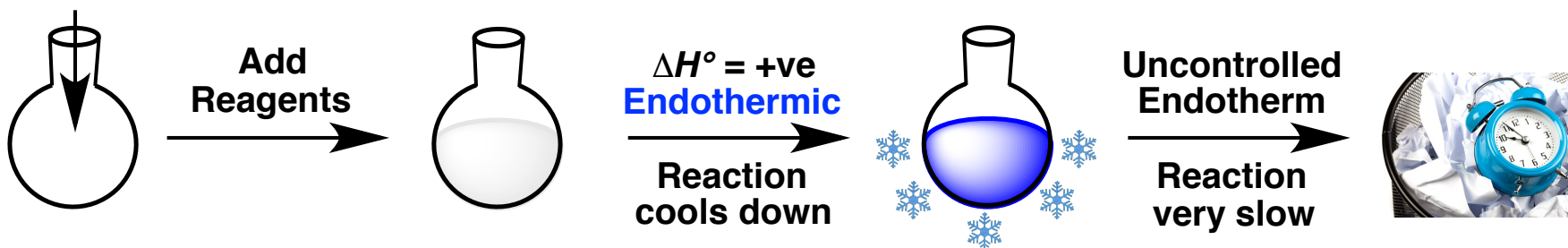
Accounting for potential exotherms = excellent experimental technique



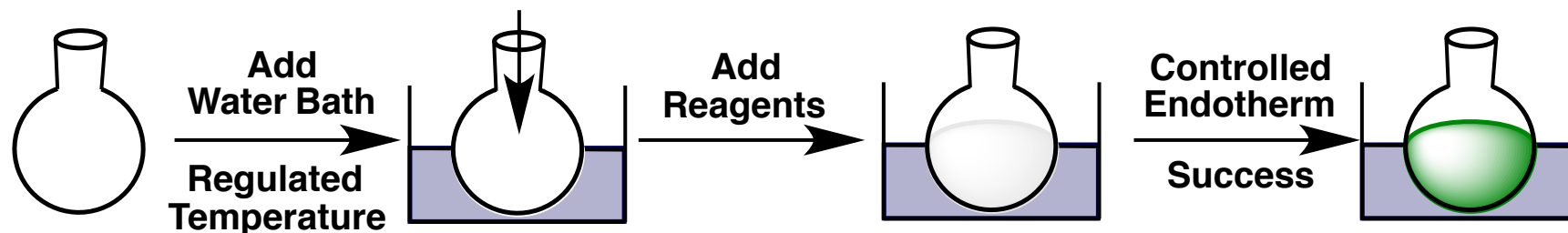
# Practical Importance of $\Delta H^\circ$

- In the lab, knowing whether  $\Delta H^\circ$  for a reaction is +ve (endothermic) or -ve (exothermic) can be very useful. Why?
- Let's now consider an **endothermic** reaction – i.e. you know the  $\Delta H^\circ$  is +ve.

## Not accounting for potential endotherm = bad experimental technique

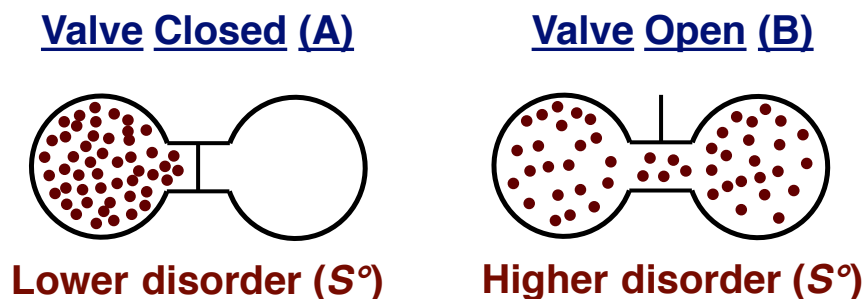


## Accounting for potential endotherms = excellent experimental technique



# Entropy and $\Delta S^\circ$

- **Entropy ( $S^\circ$ )** is a measure of the **disorder in the system**.
- **Change in enthalpy ( $\Delta S^\circ$ )** is the change in disorder between starting materials and products.
- Consider the free expansion of a gas. Opening the valve results in the gas filling both chambers, resulting in an **increase in disorder (+ve  $\Delta S^\circ$ )**.



- The process of opening the valve (A  $\rightarrow$  B) results in an increase in entropy (+ve  $\Delta S^\circ$ ).
- To determine the total change in entropy ( $\Delta S^\circ_{\text{tot}}$ ) for a chemical reaction we must take account of the entropy change for both the system ( $\Delta S^\circ_{\text{sys}}$ ) **AND** its surroundings ( $\Delta S^\circ_{\text{surr}}$ ).

# Gibbs Free Energy and $\Delta G^\circ$

- Let's derive the Gibbs free energy equation:

$$\Delta S^\circ_{\text{tot}} = \Delta S^\circ_{\text{surr}} + \Delta S^\circ_{\text{sys}} \quad \text{Where } \Delta S^\circ_{\text{surr}} = - \frac{\Delta H^\circ_{\text{sys}}}{T}$$

$$\text{Therefore: } \Delta S^\circ_{\text{tot}} = - \frac{\Delta H^\circ_{\text{sys}}}{T} + \Delta S^\circ_{\text{sys}}$$

$$-T\Delta S^\circ_{\text{tot}} = \Delta H^\circ_{\text{sys}} - T\Delta S^\circ_{\text{sys}}$$

$$\Delta G^\circ = \Delta H^\circ_{\text{sys}} - T\Delta S^\circ_{\text{sys}}$$

$\Delta G^\circ$  = Gibbs free energy (kJ mol<sup>-1</sup>)

$\Delta H^\circ$  = Enthalpy change (kJ mol<sup>-1</sup>)

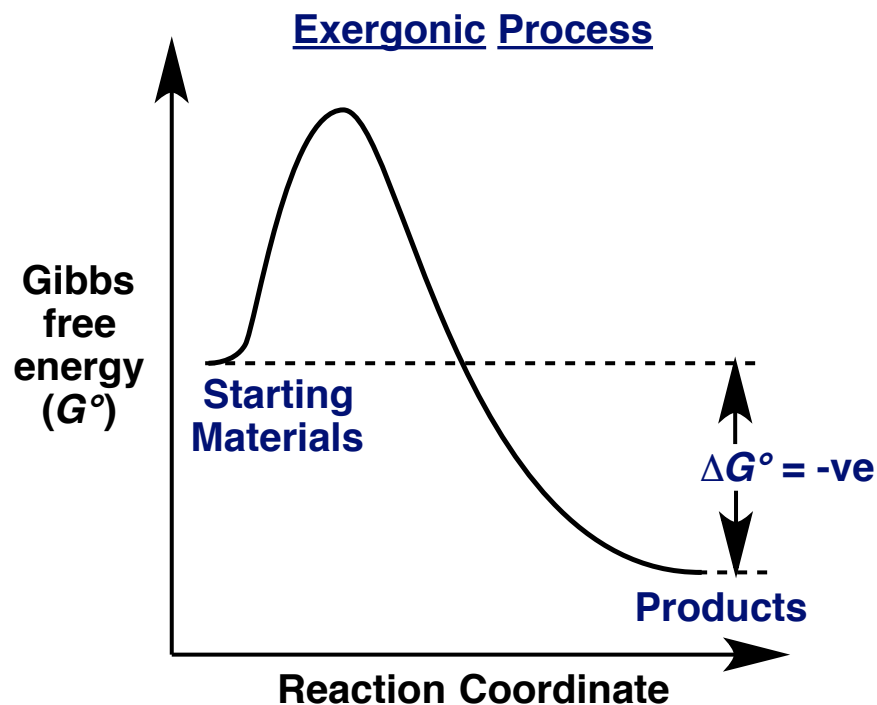
$\Delta S^\circ$  = Entropy change (J K<sup>-1</sup>)

$T$  = Temperature (K)

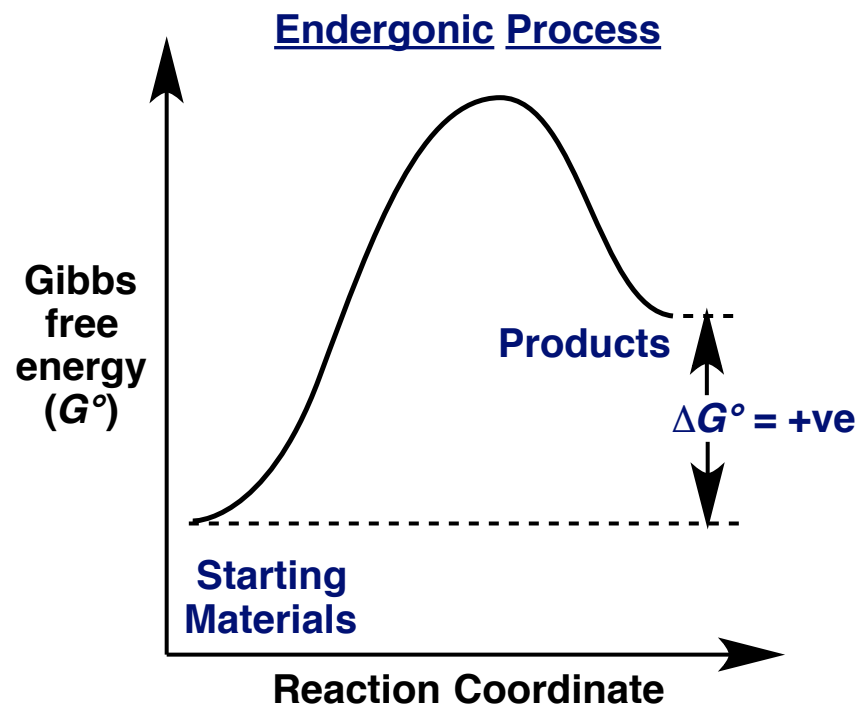
- The change in Gibbs free energy ( $\Delta G^\circ$ )** is equal to the change in enthalpy for the system ( $\Delta H^\circ_{\text{sys}}$ ) minus temperature ( $T$ ) multiplied by the change in entropy for the system ( $\Delta S^\circ_{\text{sys}}$ ).
- A negative  $\Delta G^\circ$  value corresponds to an **exergonic** process whereas a positive  $\Delta G^\circ$  value corresponds to a process that is **endergonic**.
- Hence, exothermic processes (-ve  $\Delta H^\circ$ ) and those that involve an increase in entropy (+ve  $\Delta S^\circ$ ) give a large and negative  $\Delta G^\circ$ , favouring the products at equilibrium.

# Gibbs Free Energy and $\Delta G^\circ$

- The change in Gibbs free energy ( $\Delta G^\circ$ ) at a given temperature indicates whether a given process is **exergonic** or **endergonic**.



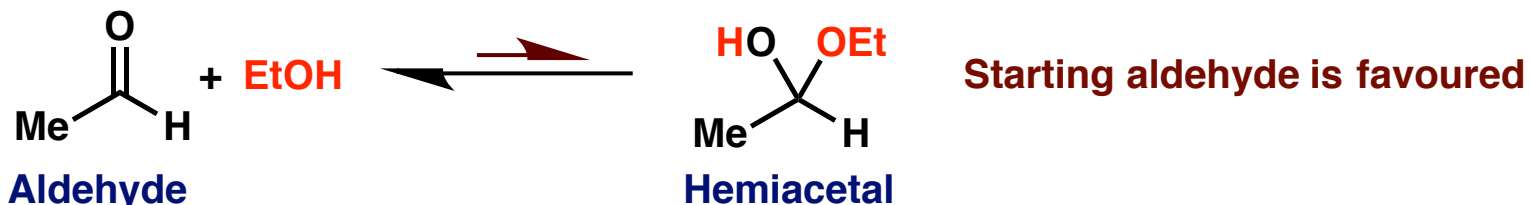
If a process at a given temperature has a negative  $\Delta G^\circ$ , the process is **EXERGONIC** and will favour the products at equilibrium.



If a process at a given temperature has a positive  $\Delta G^\circ$ , the process is **ENDERGONIC** and will favour the starting materials at equilibrium.

# Worked Example 1 – Enthalpy vs Entropy

- Consider the reversible addition of ethanol to acetaldehyde (**intermolecular** hemiacetal formation). Rationalise why the starting aldehyde is favoured.



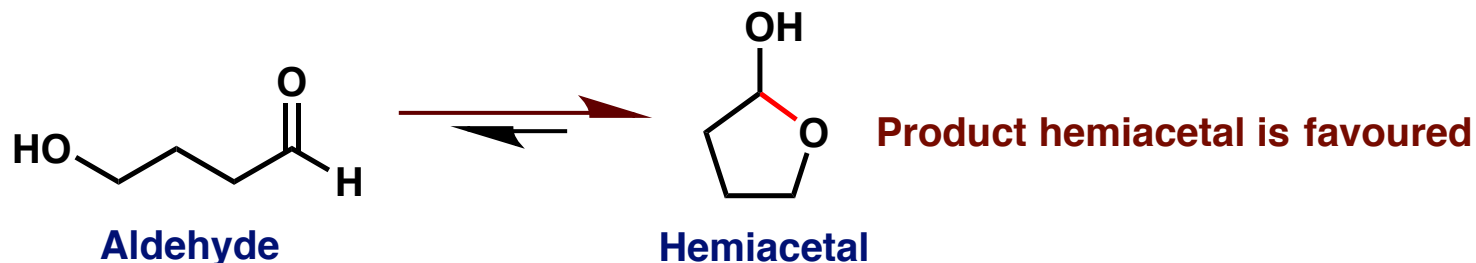
	Bonds broken	BDE (kJ/mol)	Bonds formed	BDE (kJ/mol)
Step 1: Predict $\Delta H^\circ$	C=O	+740	2 x C-O	-381
	RO-H	+438	RO-H	-438
$\Delta H^\circ = \text{Energy in} + \text{Energy out} = 1178 - 1200 = -22 \text{ kJ/mol}$ Slightly Exothermic Reaction				

Step 2: Predict  $\Delta S^\circ$   
 In the forward reaction we are converting 2 molecules into 1  
 therefore there is a decrease in disorder (-ve  $\Delta S^\circ$ )

Step 3: Predict  $\Delta G^\circ$   
 Remember the equation  $\Delta G^\circ = \Delta H^\circ - T\Delta S^\circ$   
 $\Delta H^\circ$  is small and negative,  $\Delta S^\circ$  is large and negative  $\rightarrow \Delta S^\circ$  term dominates  
 Therefore we expect a positive value of  $\Delta G^\circ$  and starting aldehyde to be favoured

## Worked Example 2 – Enthalpy vs Entropy

- Now consider the reversible **intramolecular** hemiacetal formation. Rationalise why the product hemiacetal aldehyde is favoured.



	Bonds broken	BDE (kJ/mol)	Bonds formed	BDE (kJ/mol)
<b>Step 1: Predict <math>\Delta H^\circ</math></b>	C=O	+740	2 x C-O	-381
	RO-H	+438	RO-H	-438
$\Delta H^\circ = \text{Energy in} + \text{Energy out} = 1178 - 1200 = -22 \text{ kJ/mol}$ Slightly Exothermic Reaction				

### Step 2: Predict $\Delta S^\circ$

$\Delta S^\circ$  is close to zero as there is no change in the number of molecules in this reaction

### Step 3: Predict $\Delta G^\circ$

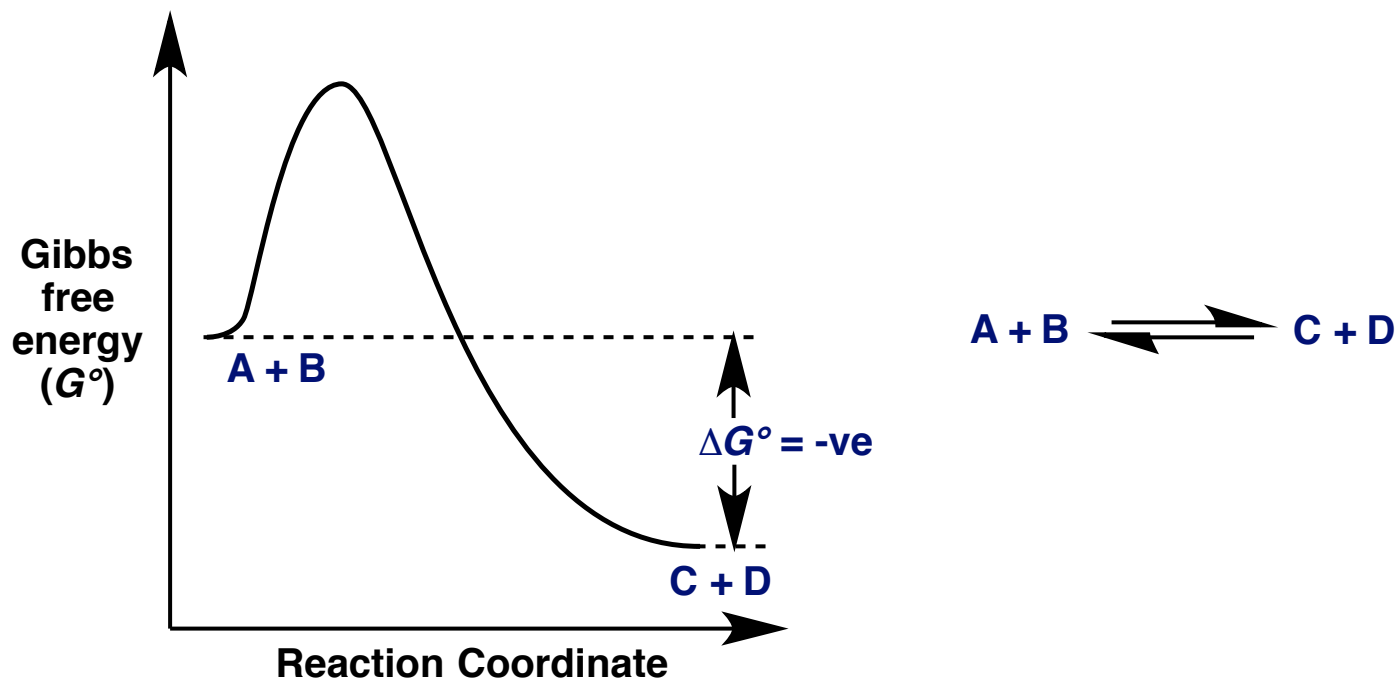
Remember the equation  $\Delta G^\circ = \Delta H^\circ - T\Delta S^\circ$

$\Delta H^\circ$  is small and negative,  $\Delta S^\circ$  is close to zero  $\rightarrow \Delta H^\circ$  term dominates

Therefore we expect a negative value of  $\Delta G^\circ$  and product hemiacetal to be favoured

# Gibbs Free Energy and Equilibria

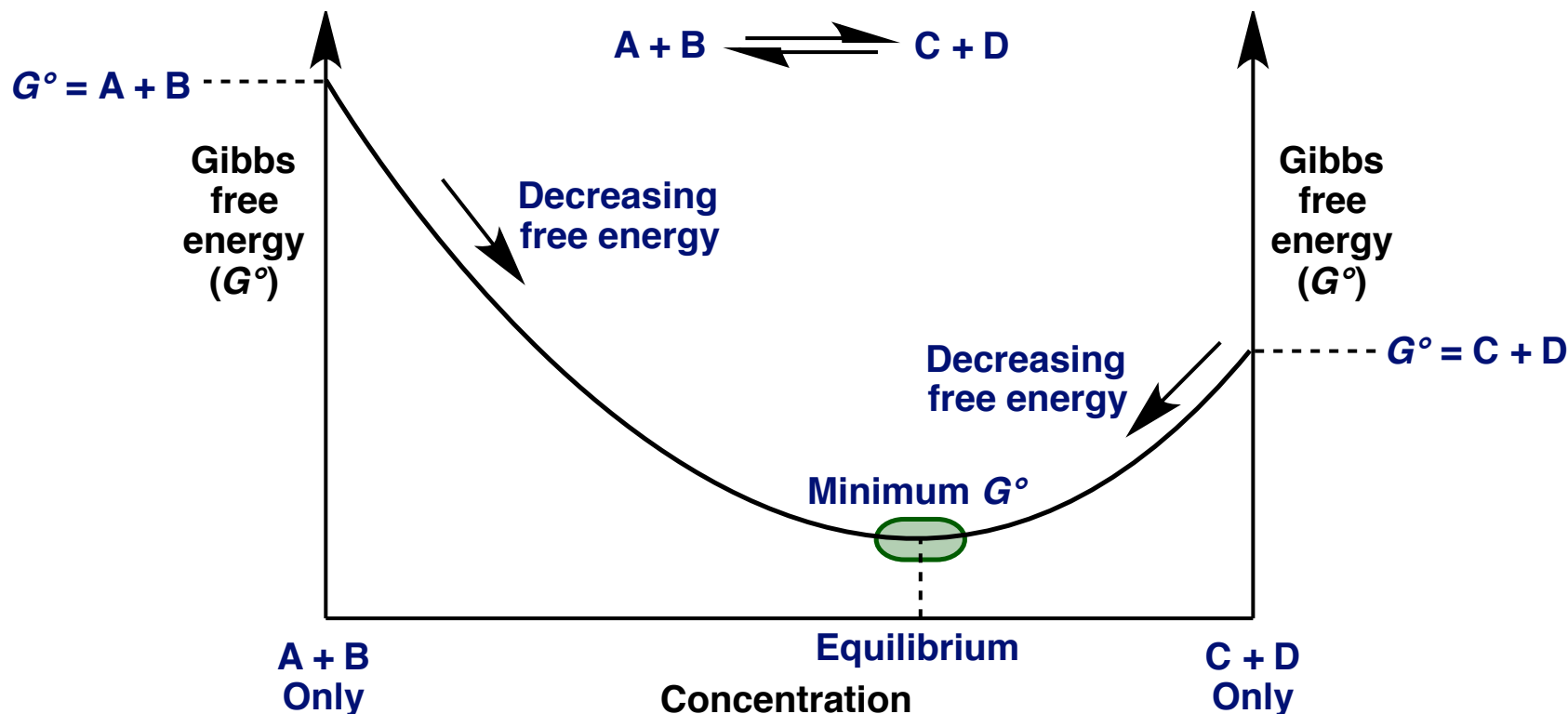
- Consider an exergonic process with a negative change in Gibbs free energy ( $-\Delta G^\circ$ ).



- Q) Will every molecule of A and B be converted to products?
- A) No. An equilibrium state will eventually be reached. An exergonic process will simply favour the products meaning that there will be more products than reactants

# Gibbs Free Energy and Equilibria

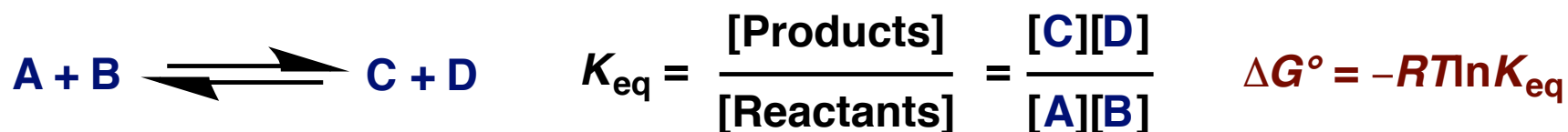
- Equilibrium is the state with the lowest overall free energy (minimum value of  $G$ ).



- Remember that equilibrium is dynamic and is reached when the rate of both the forward and reverse reactions are equal.

# Gibbs Free Energy and Equilibria

- An equilibrium constant ( $K_{\text{eq}}$ ) is used to show the degree to which a reaction favours products or reactants and can be related to the change in Gibbs free energy ( $\Delta G^\circ$ ).



	$\Delta G^\circ$ (kJ/mol)	$K_{\text{eq}}$	% Products At Equilibrium
-ve $\Delta G^\circ$ Favouring Products	-17	$10^3$	99.9
	-11	$10^2$	99
	-6	$10^1$	90
Equilibrium	0	1	50
+ve $\Delta G^\circ$ Favouring Reactants	+6	$10^{-1}$	10
	+11	$10^{-2}$	1
	+17	$10^{-3}$	0.1

- $K_{\text{eq}}$ ,  $\Delta G^\circ$ ,  $\Delta H^\circ$  and  $\Delta S^\circ$  are thermodynamic parameters that describe equilibria. They **DO NOT** describe reaction kinetics (the rate of a reaction). Discussed in Lecture 2

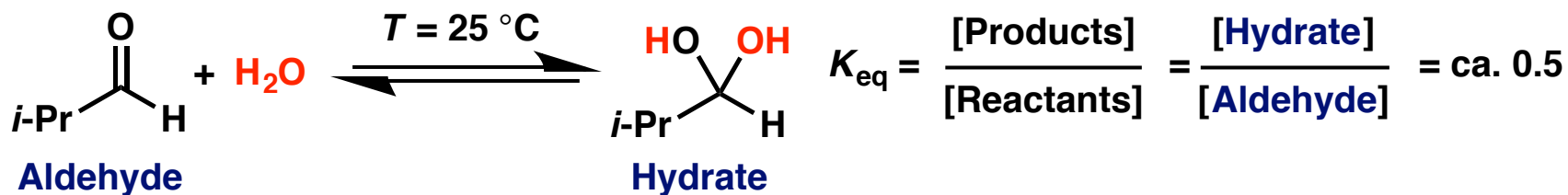
## Worked Example 3 – Hydration of an Aldehyde

- Gibbs free energy ( $\Delta G^\circ$ ) is related to the equilibrium constant ( $K_{\text{eq}}$ ) by the following equation:

$$\Delta G^\circ = -RT \ln K_{\text{eq}}$$

$\Delta G^\circ$  = Change in Gibbs free energy  
 $R$  = Gas constant ( $8.314 \text{ J K}^{-1} \text{ mol}^{-1}$ )  
 $T$  = Temperature in kelvin (not  $^\circ\text{C}$ )  
 $K_{\text{eq}}$  = Equilibrium constant

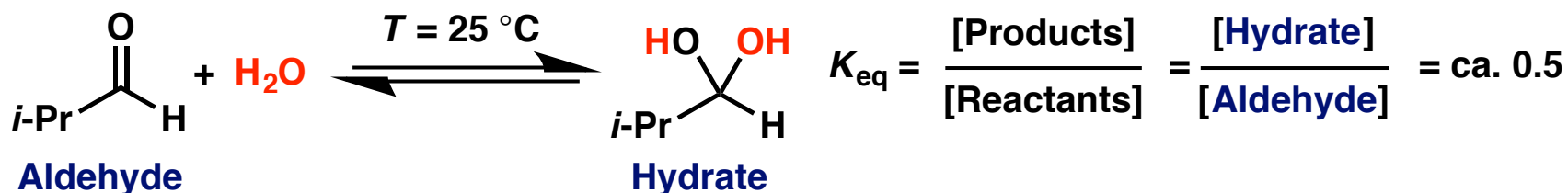
- Consider the reversible addition of water to an aldehyde (hydration of an aldehyde). Note that pure solids and liquids (water) are **NOT** included in the equilibrium constant



- Q1) What is the approximate ratio of aldehyde to hydrate in the equilibrium mixture?
- Q2) What is the corresponding value for  $\Delta G^\circ$ ? Is the process exergonic or endergonic?
- Q3) Sketch a suitable energy diagram indicating  $\Delta G^\circ$  for this process.

## Worked Example 3 – Hydration of an Aldehyde

- Q1) What is the approximate ratio of aldehyde to hydrate in the equilibrium mixture?
- A1)  $K_{\text{eq}} = \text{ca. } 0.5$  therefore twice as much aldehyde as hydrate.



- Q2) What is the corresponding value for  $\Delta G^\circ$ ? Is the process exergonic or endergonic?
- A2)  $\Delta G^\circ = +1.72 \text{ kJ mol}^{-1}$  therefore endergonic

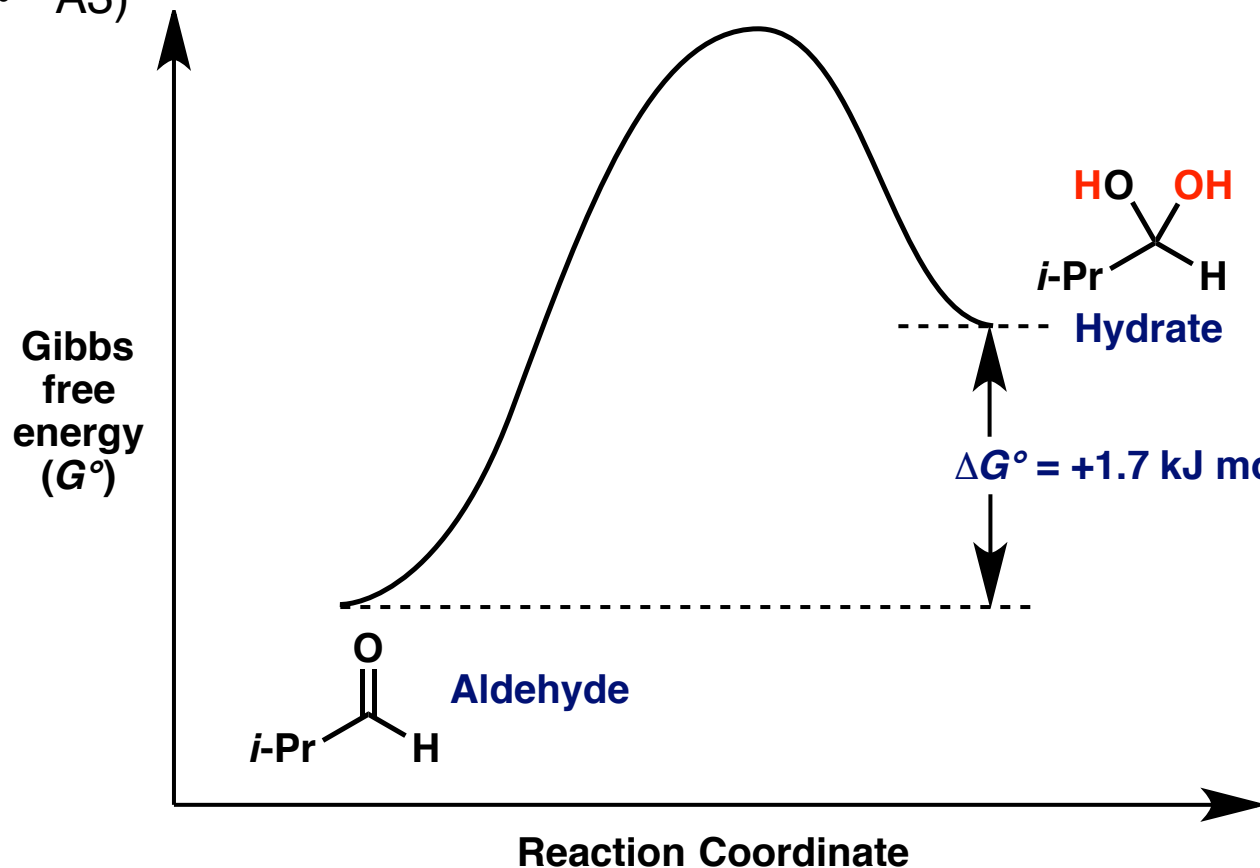
$$\begin{aligned}
 \Delta G^\circ &= -RT \ln K_{\text{eq}} \\
 \Delta G^\circ &= -(8.314) \times 298 \times \ln(0.5) \\
 \Delta G^\circ &= 1717 \text{ J mol}^{-1} = 1.72 \text{ kJ mol}^{-1}
 \end{aligned}$$

**Endergonic Process (+ve  $\Delta G^\circ$ )**

## Worked Example 3 – Hydration of an Aldehyde

- Q3) Sketch a suitable Gibbs free energy diagram indicating  $\Delta G^\circ$  for this process.

A3)



Process at 298 K has a positive  $\Delta G^\circ$  ( $+1.7 \text{ kJ mol}^{-1}$ ) therefore endergonic and favours the starting aldehyde.

**Note:** The reaction coordinate is an arbitrary scale used for diagrammatic purposes online

# Lecture 1: Describing Organic Reaction Mechanisms

- **Key learning objectives:**
- Understand the difference between homolytic vs heterolytic bond breaking
- Understand the importance of bond dissociation energy (BDE) how these values relate to bond strength and radical stability
- Enthalpy and  $\Delta H^\circ$  – predicting  $\Delta H^\circ$  for a chemical reaction, identifying a reaction as exothermic or endothermic, practical implications
- Entropy and  $\Delta S^\circ$  – the change in disorder during a process
- Gibbs free energy and  $\Delta G^\circ$  – determining whether a process is exergonic or endergonic
- Equilibria and its relationship to  $\Delta G^\circ$

# Lecture 1 Revision

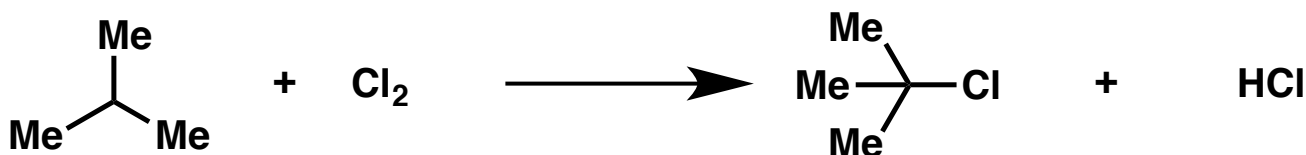
**To reinforce your understanding of the contents of this lecture, please refer to:**

- *Organic Chemistry 2<sup>nd</sup> Ed.* (J. Clayden et al.) Chapter 12 pp. 240-249 and Chapter 37 pp. 970-979.
- Practice questions provided on the next two slides.
- Online practice questions <http://www.oxfordtextbooks.co.uk/orc/clayden2e/>  
Username: clayden2e Password: compound
- CH4103 Online Test 1
- CH4103 Tutorial 2
- CH4103 Workshop 2

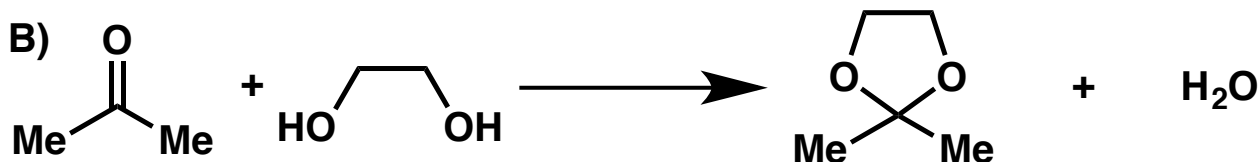
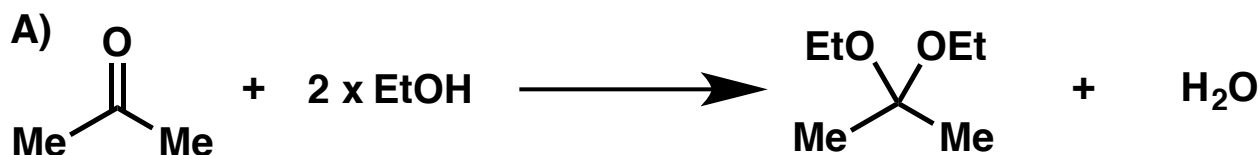
# Lecture 1 Practice Questions / Guided Self-Study

For further practice, attempt the following questions in your own time:

- Q1) Using the table on slide 6, predict the  $\Delta H^\circ$  for the following reaction and indicate whether the forward reaction is exothermic or endothermic. Represent this graphically using an enthalpy energy diagram.



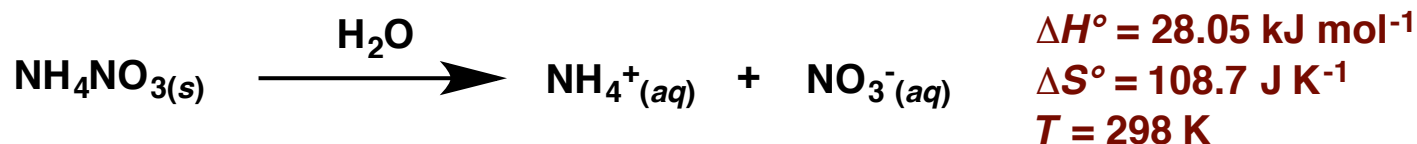
- Q2) For processes A and B below, would you expect the  $\Delta S^\circ$  value for the forward reaction to be +ve, -ve or close to 0?



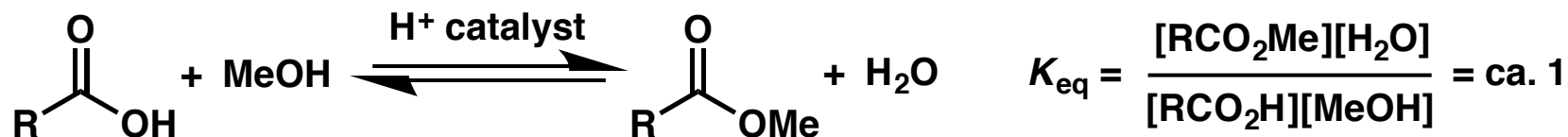
# Lecture 1 Practice Questions / Guided Self-Study

For further practice, attempt the following questions in your own time:

- Q3) Using the information provided, calculate  $\Delta G^\circ$  (in  $\text{kJ mol}^{-1}$ ) for the following reaction and indicate whether the forward reaction is exergonic or endergonic.



- Q4) Consider the following reversible ester formation and the corresponding equilibrium. How might you alter the equilibrium position to favour the ester product?



# **CH4103 Organic and Biological Chemistry**

## **LCM Lecture 2**

**Dr Louis C. Morrill**  
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**Autumn Semester**



# Lecture 2 Preparation

 **recap** To best prepare yourself for the contents of this lecture, please refresh **recap** 

- Bonding in organic compounds (Unit 1, Lecture 2)
- Reactive intermediates – carbocations (Unit 1, Lecture 8)
- Thermodynamics ( $K_{\text{eq}}$ ,  $\Delta G^\circ$ ,  $\Delta H^\circ$  and  $\Delta S^\circ$ ) and equilibria (Unit 2, Lecture 1)
- Energy diagrams (Unit 2, Lecture 1)

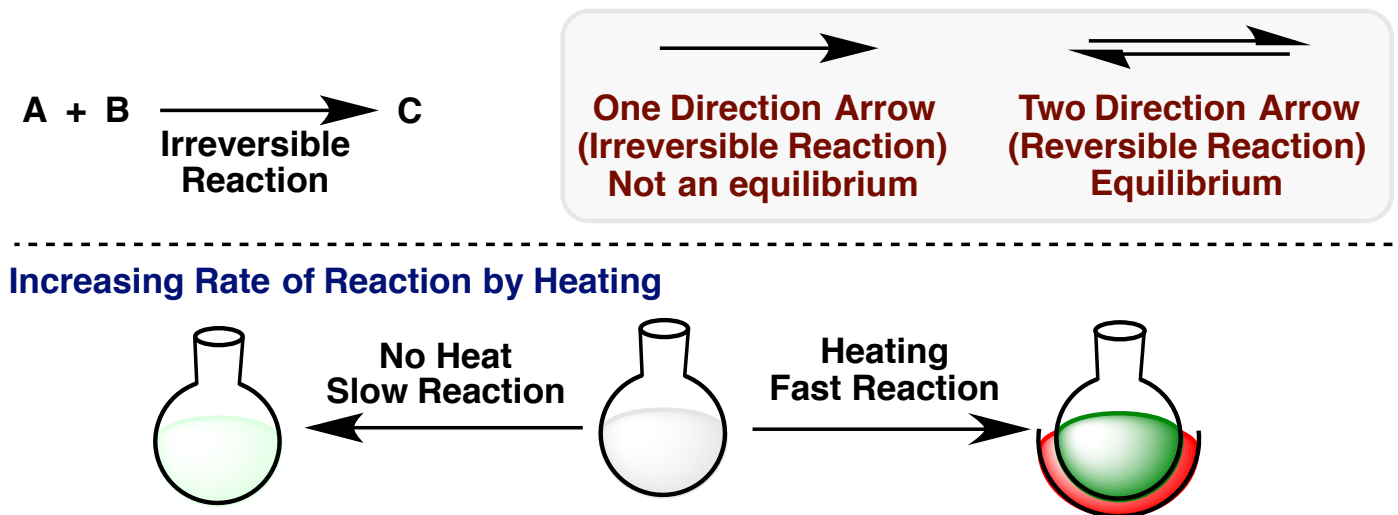
# Lecture 2: Reaction Kinetics and the Hammond Postulate

## Key learning objectives:

- Be able to clearly differentiate between thermodynamics and kinetics
- Rate laws – 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> order
- Activation energy ( $E_a$ ) – the minimum amount of energy required for reaction
- Relating the rate constant ( $k$ ) to the activation energy ( $E_a$ ) – the Arrhenius equation
- The definitions of intermediates and transition states
- The Hammond postulate – early (“reagent like”) and late (“product like”) transition states

# Reaction Kinetics

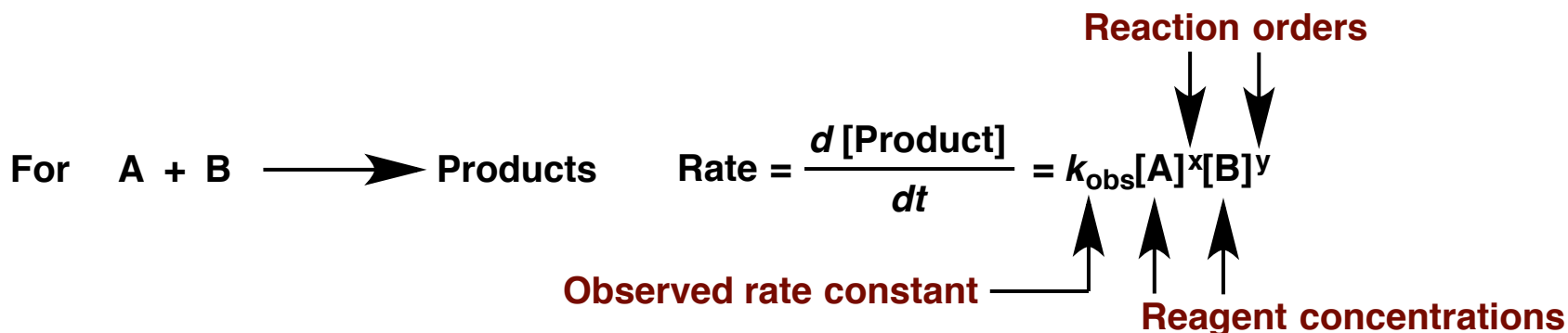
- In Lecture 1 we showed that a  $-ve \Delta G^\circ$  value indicates that a process favours the formation of products at equilibrium, i.e. it is exergonic. This does **NOT** tell us anything about the **RATE** of the process.
- In the laboratory reactions are often heated. This is often done to **speed up reactions** (kinetics) rather than to alter the **equilibrium position** (thermodynamics). Many reactions are irreversible with reactants and products not in equilibrium.



- Higher  $T$  results in molecules having higher kinetic energy. Therefore a higher number of molecular collisions have sufficient force for reaction, **increasing the rate**.

# Reaction Kinetics – Rate Laws

- The kinetics of any given reaction is characterised by its **rate law**.
- This equation describes the relationship between the reactants and the **rate of product formation** (i.e. rate of reaction).



- The reaction orders exhibited by the reagents is important when considering the mechanism of a reaction.
- The reaction order for each reagent tells us how many molecules of that reagent participate in the rate determining step.
- Let's now consider the rate law for 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> order reactions.

# Reaction Kinetics – Rate Laws

- First Order** – Only one molecule of reagent A participates in the rate determining step



- Second Order** – Only one molecule of reagent A and one molecule of reagent B participate in the rate determining step



- Third Order** – Only one molecule of reagent A and two molecules of reagent B participate in the rate determining step



Rate = Rate of reaction ( $\text{mol L}^{-1} \text{s}^{-1}$ )

[A] and [B] = Concentration of A and B ( $\text{mol L}^{-1}$ )

x and y = Reaction order in A and B (integers, no unit)

$k_{\text{obs}}$  = Rate constant (units below)

1st Order ( $\text{s}^{-1}$ )

2nd Order ( $\text{L mol}^{-1} \text{s}^{-1}$ )

3rd Order ( $\text{L}^2 \text{mol}^{-2} \text{s}^{-1}$ )

# Reaction Kinetics – Activation Energy ( $E_a$ )

- The rate constant,  $k$ , is related to the minimum amount of energy required to bring about the reaction, the activation energy ( $E_a$ ), by the Arrhenius equation.

$k$  = Rate constant (units depend on reaction order)

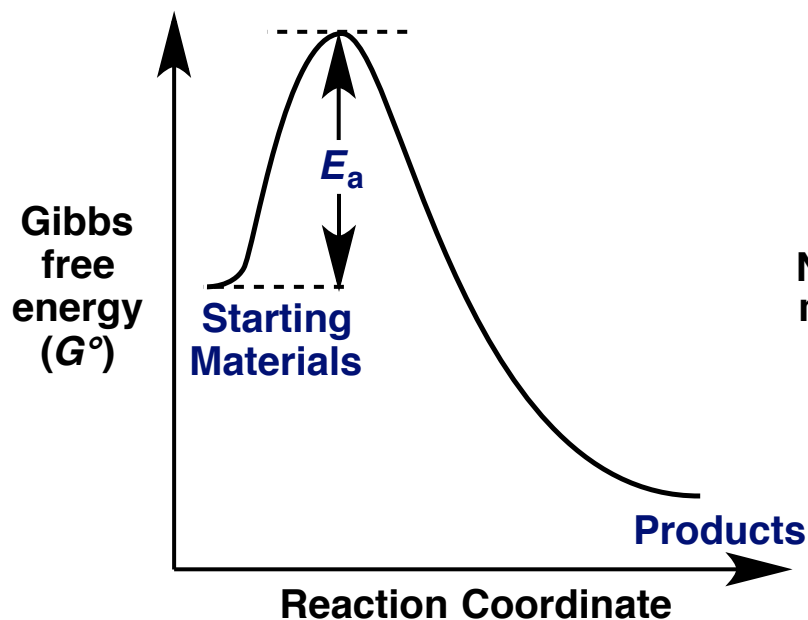
$A$  = Pre-exponential factor (units as for rate constant)

$E_a$  = Activation energy ( $\text{kJ mol}^{-1}$ )

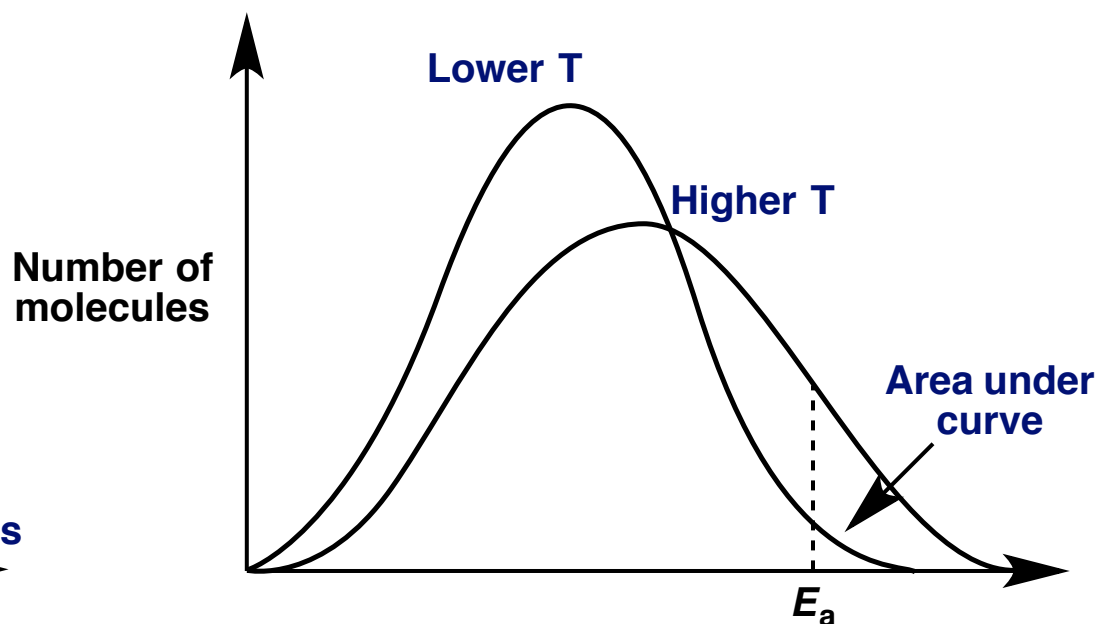
$R$  = Gas constant ( $8.314 \text{ J K}^{-1} \text{ mol}^{-1}$ )

$T$  = Temperature (K)

$$k = Ae^{-E_a/RT}$$



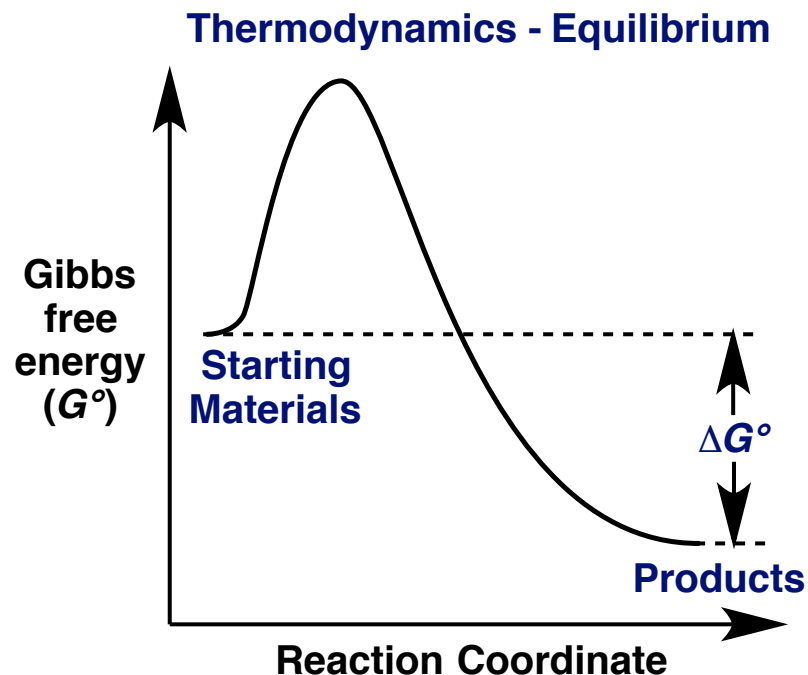
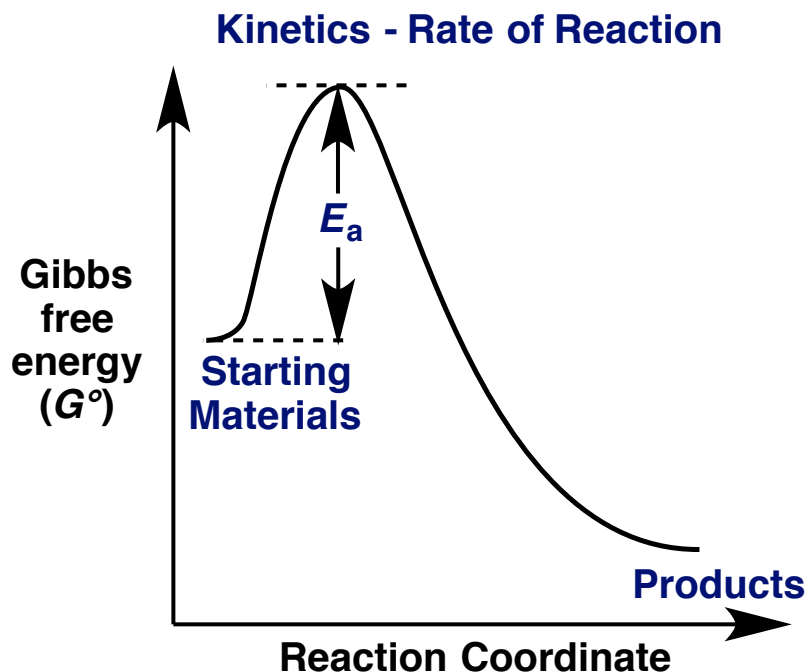
As  $E_a \uparrow$ ,  $k \downarrow$ , rate  $\downarrow$



As  $T \uparrow$ ,  $k \uparrow$ , rate  $\uparrow$

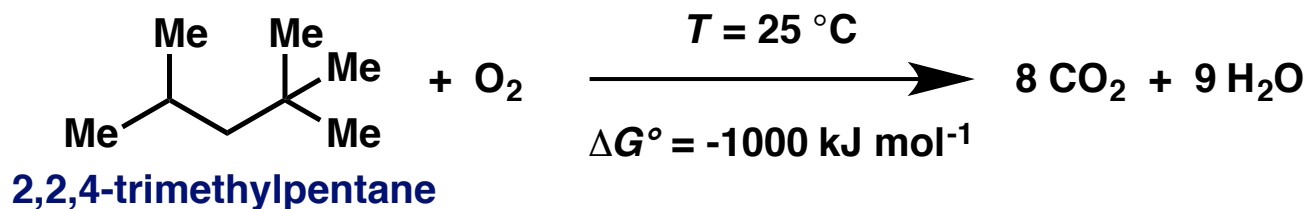
# Reaction Kinetics vs Thermodynamics

- We must be able to clearly differentiate between **kinetics** and **thermodynamics**.
- **Kinetics** is concerned with reaction rates – how fast do we get there?
- **Thermodynamics** is concerned with equilibria – favour starting materials or products?



## Worked Example 1 – Kinetics vs Thermodynamics

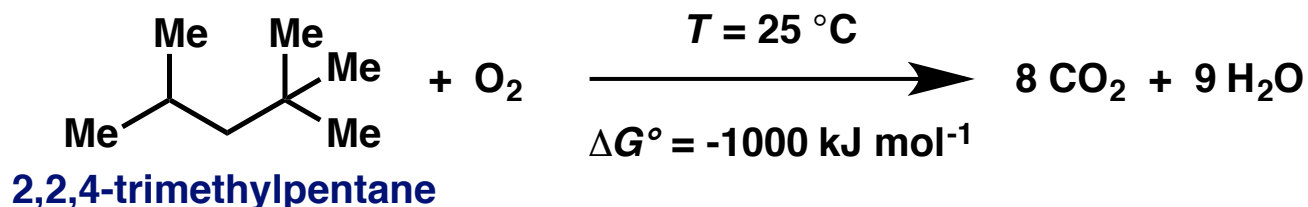
- Consider the combustion 2,2,4-trimethylpentane (the major component of petrol):



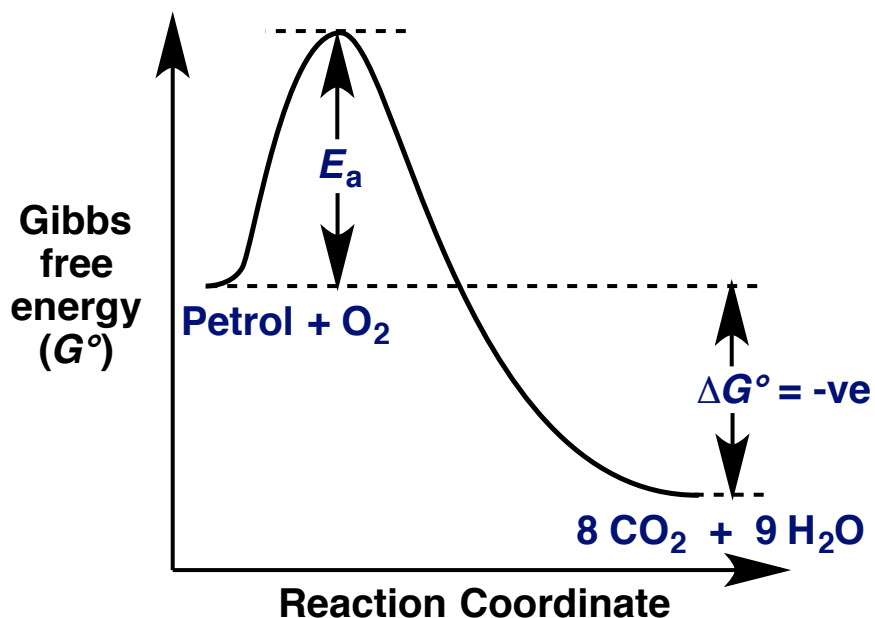
- This massive **negative** value for  $\Delta G^{\circ}$  suggests that the process is exergonic and should favour the products at equilibrium.
- However, we put it into our fuel tanks everyday and nothing happens?
- Therefore, the mixture of 2,2,4-trimethylpentane and oxygen cannot be at equilibrium.
- Indeed a certain amount of energy (the activation energy,  $E_a$ ) is needed to reach equilibrium. Without this burst of energy, the petrol is stable in the presence of O<sub>2</sub>.
- The mixture of 2,2,4-trimethylpentane and oxygen is said to be **thermodynamically unstable** with respect to the products of the reaction, CO<sub>2</sub> and H<sub>2</sub>O, but **kinetically stable**.

# Worked Example 1 – Kinetics vs Thermodynamics

- Consider the combustion 2,2,4-trimethylpentane (the major component of petrol):



- The mixture of 2,2,4-trimethylpentane and oxygen is **thermodynamically unstable** but **kinetically stable**. We can think of this in terms of an energy diagram:



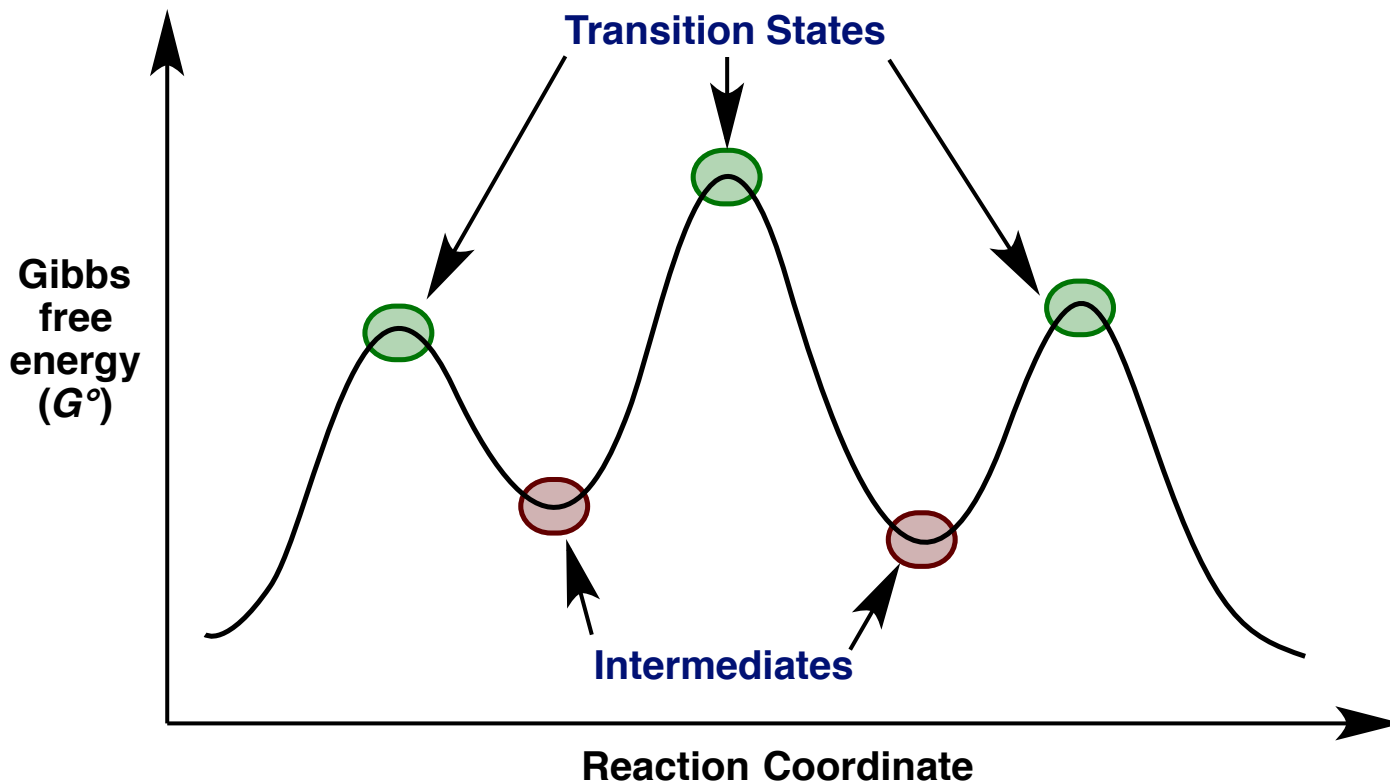
$E_a$  = Activation energy for conversion of reactants to products

$\Delta G^\circ = -ve$  therefore the products are thermodynamically favoured

In a car engine, the spark plug provides the needed energy and combustion occurs

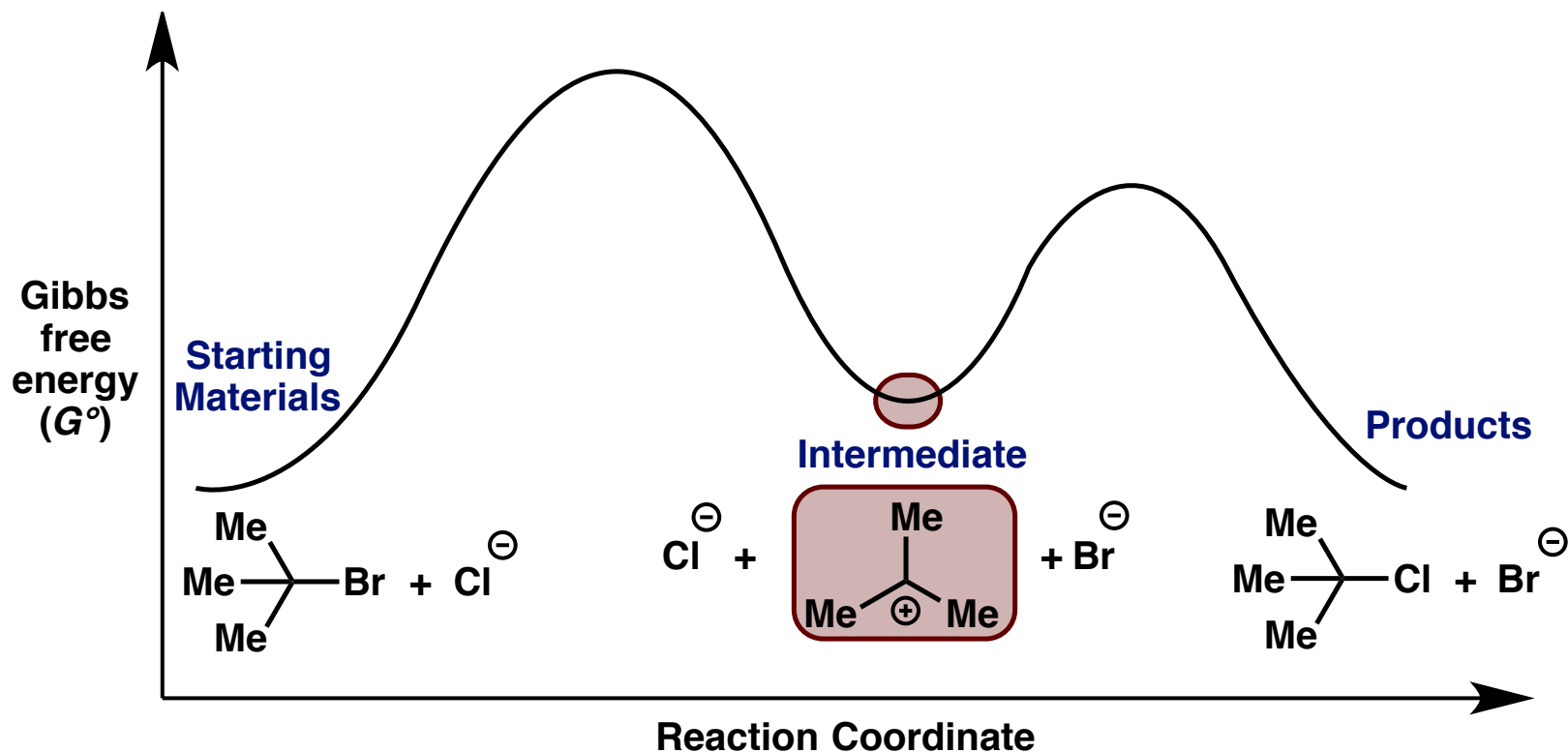
# Intermediates vs Transition States

- We must also be able to clearly differentiate between **intermediates** and **transition states**.
- Consider the following energy diagram with transition states and intermediates indicated:



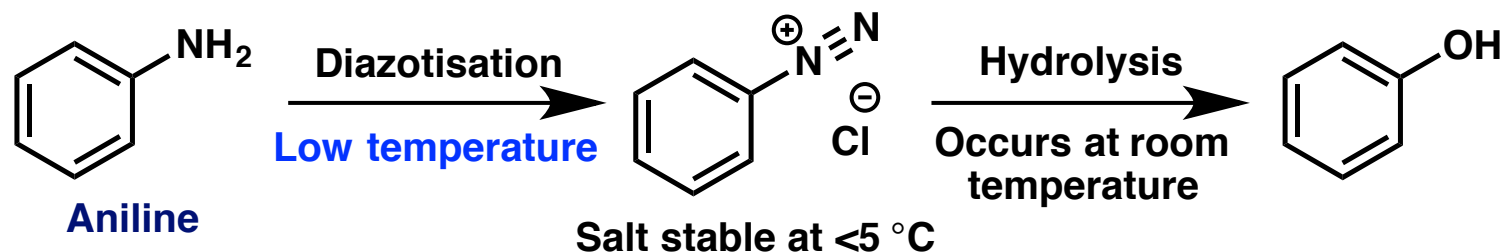
# Intermediates

- An **intermediate** is a molecule or ion that appears at a localised energy minimum. Intermediates generally exist long enough to be observed and can in principle be isolated.
- Bonds are **NOT** in the process of breaking or forming within **intermediates**.

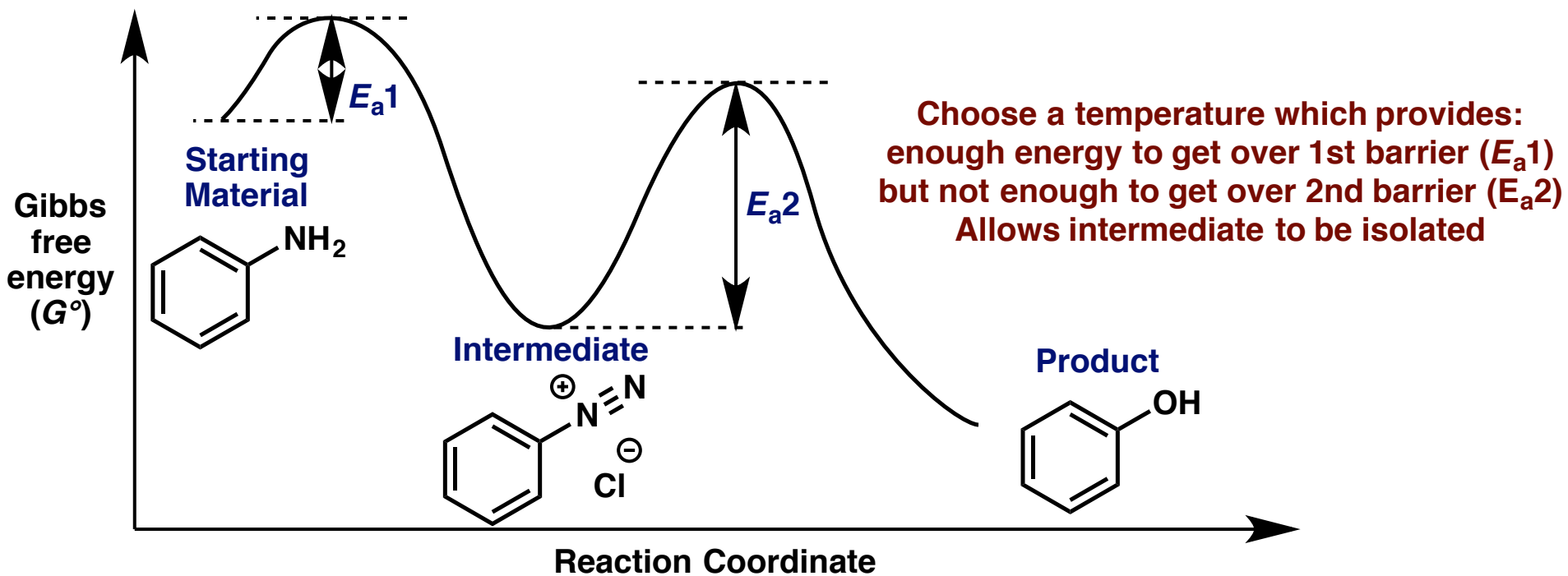


## Worked Example 2 – Isolating Intermediates

- Consider the conversion of aniline to the corresponding diazo compound:

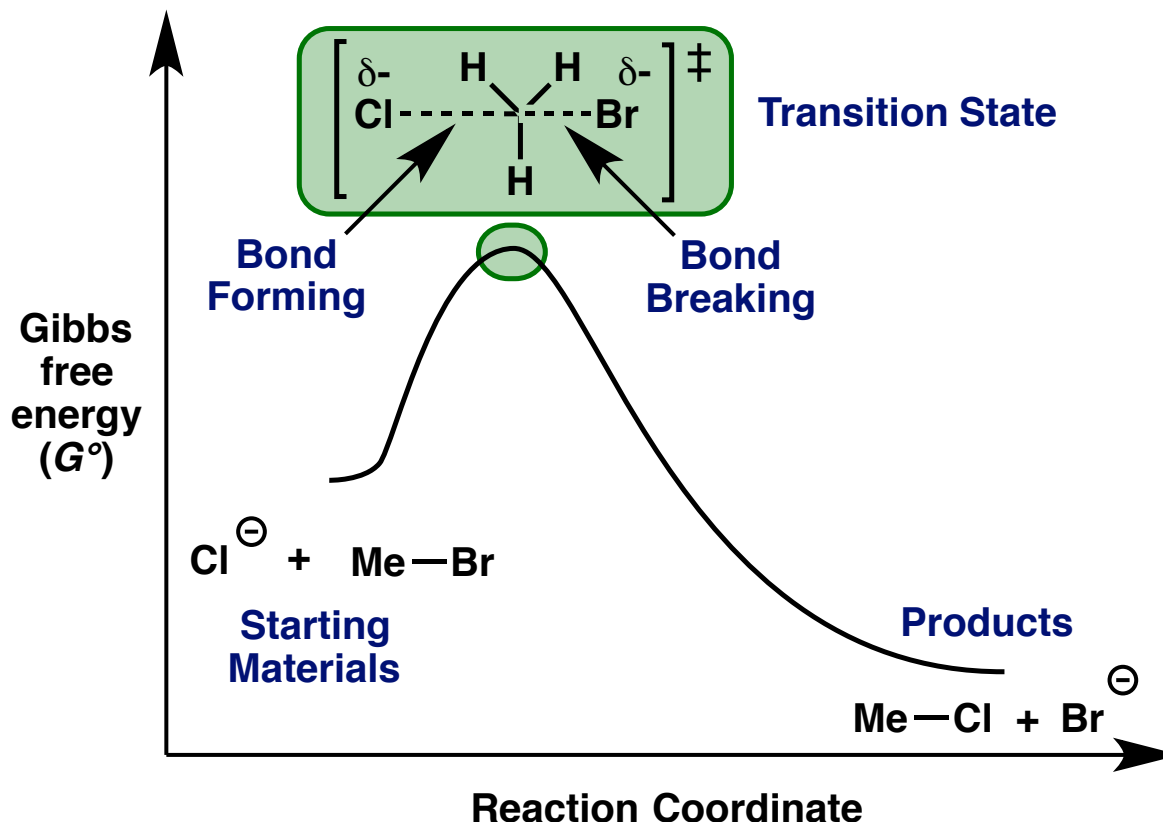


- Why is the diazotisation step carried out at lower temperature?



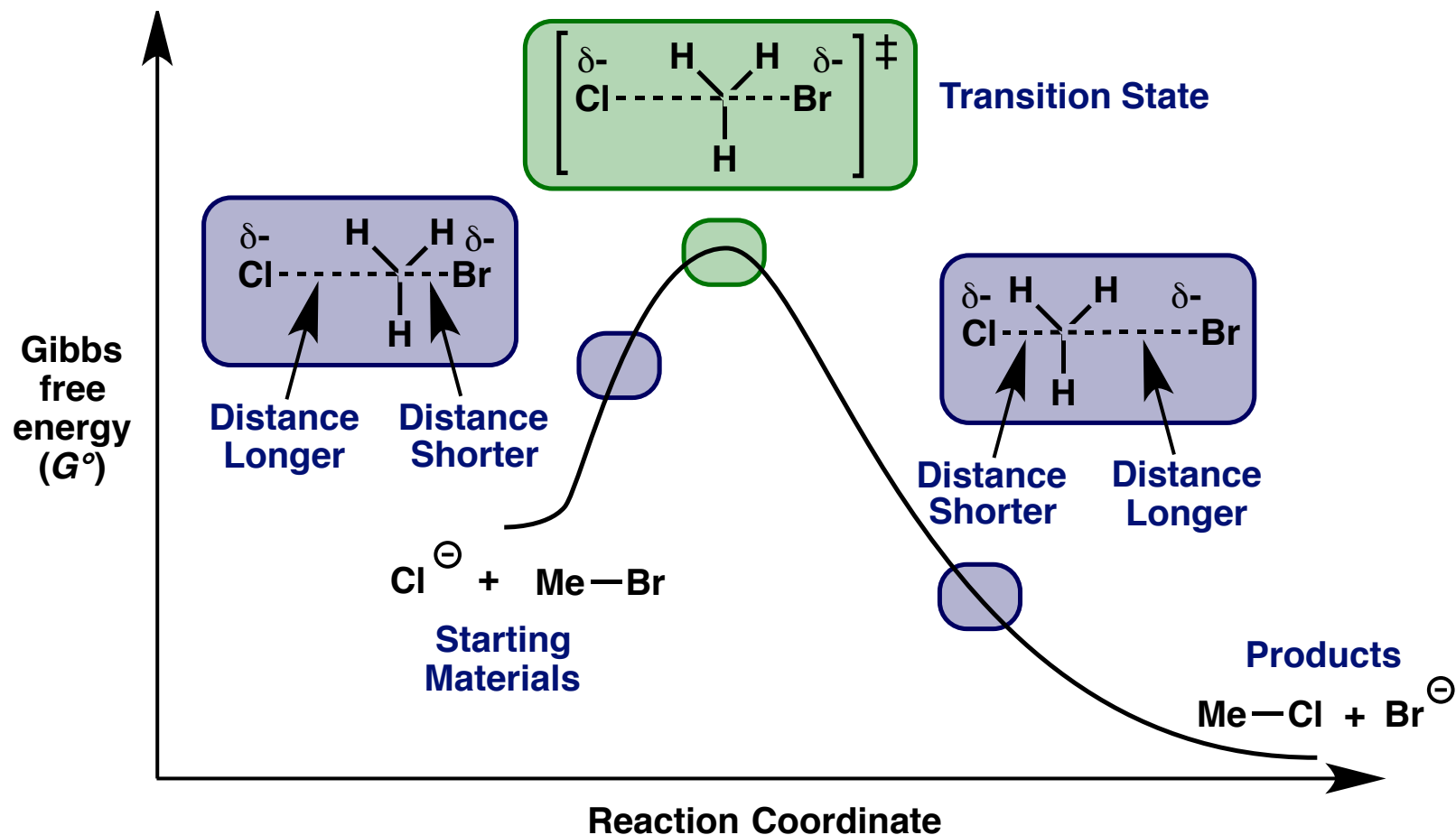
# Transition States

- A **transition state** represents an energy maximum. They exist for an extremely short period of time and can never be isolated or observed directly.
- **Transition states** contain bonds that are partially formed and/or partially broken.



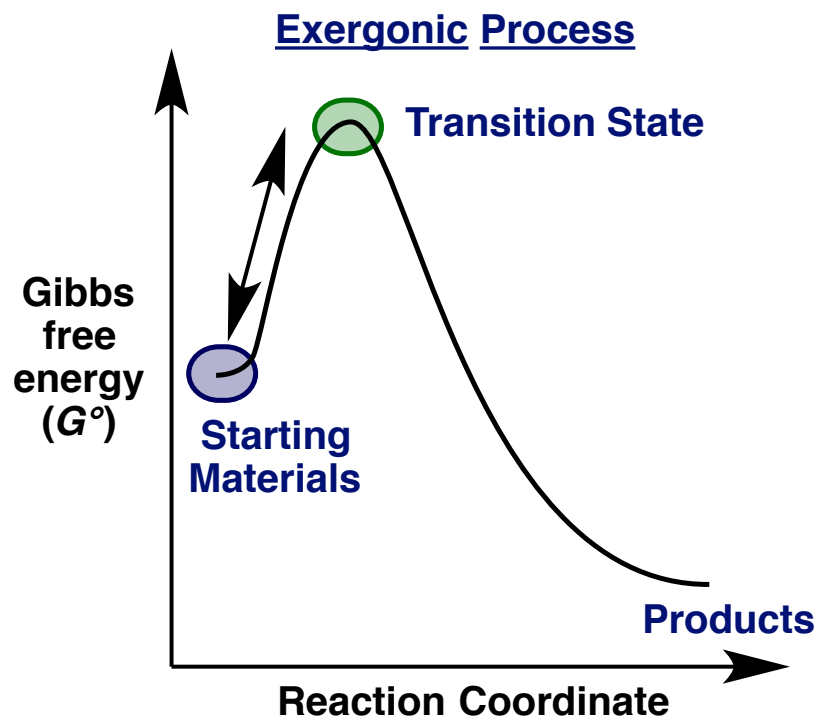
# Transition States

- It is reasonable to suggest that two points on an energy diagram that are **close in energy** should be **similar in structure**. This idea is the basis of the **Hammond postulate**.

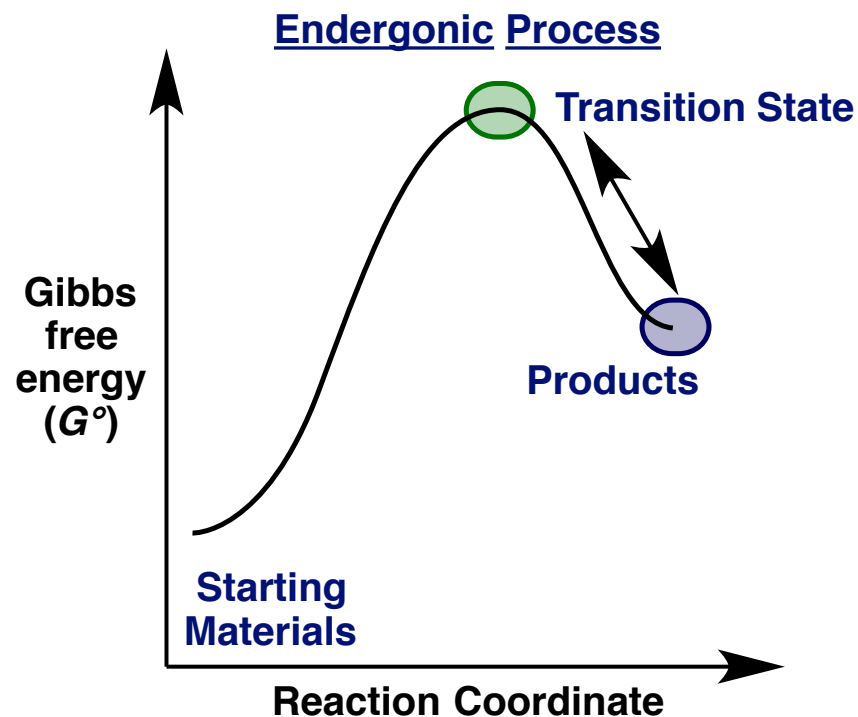


# The Hammond Postulate

- The **Hammond postulate** gives information about the structure of transition states. It states that a transition state will resemble the observable structure that is closest in energy. This may be starting materials, intermediates or products.



Transition state is early and is similar in structure to the starting materials.



Transition state is late and is similar in structure to the products.

# Lecture 2: Reaction Kinetics and the Hammond Postulate

## Key learning objectives:

- Be able to clearly differentiate between thermodynamics and kinetics
- Rate laws – 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> order
- Activation energy ( $E_a$ ) – the minimum amount of energy required for reaction
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- The definitions of intermediates and transition states
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# Lecture 2 Revision

**To reinforce your understanding of the contents of this lecture, please refer to:**

- *Organic Chemistry 2<sup>nd</sup> Ed.* (J. Clayden et al.) Chapter 12 pp. 250-267 and Chapter 37 pp. 989.
- Practice questions provided on the next slide.
- Online practice questions <http://www.oxfordtextbooks.co.uk/orc/clayden2e/>  
Username: clayden2e Password: compound
- CH4103 Online Test 2
- CH4103 Tutorial 2
- CH4103 Workshop 2

## Lecture 2 Practice Questions / Guided Self-Study

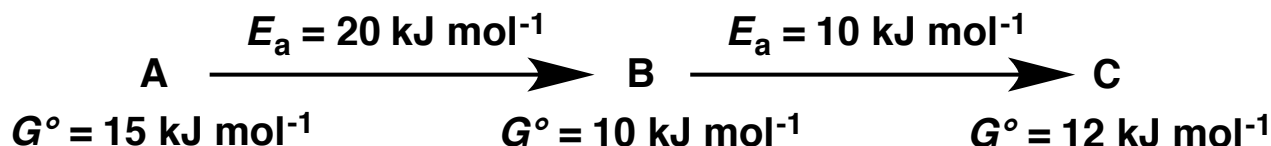
For further practice, attempt the following questions in your own time:

- Q1) Given the reaction and rate data below answer the following questions:
- A) Give the rate equation
- B) Determine the rate constant
- C) Determine the initial rate of reaction when  $[\text{NH}_4^+] = 0.05 \text{ M}$  and  $[\text{NO}_2^-] = 0.04 \text{ M}$



Experiment	$[\text{NH}_4^+]$	$[\text{NO}_2^-]$	Rate
1	0.010 M	0.020 M	0.020 M s <sup>-1</sup>
2	0.015 M	0.020 M	0.030 M s <sup>-1</sup>
3	0.010 M	0.010 M	0.005 M s <sup>-1</sup>

- Q2) Determine the rate constant for a reaction if the temperature is 298 K, activation energy is 200 kJ mol<sup>-1</sup> and the pre-exponential factor is 9 M<sup>-1</sup>s<sup>-1</sup>
- Q3) Sketch an energy diagram for the following process indicating activation energies and the locations of any transition states or intermediates



# **CH4103 Organic and Biological Chemistry**

## **LCM Lecture 3**

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**Autumn Semester**



# Lecture 3 Preparation

 **To best prepare yourself for the contents of this lecture, please refresh** 

- Bonding in organic compounds (Unit 1, Lecture 2)
- Atomic and molecular orbitals (Unit 1, Lecture 2)
- Molecular shape and hybridisation (Unit 1, Lecture 2)
- Sigma and pi bonds (Unit 1, Lecture 2)
- Electronegativity and bond polarisation (Unit 1, Lecture 3)
- Reactive intermediates – carbocations (Unit 1, Lecture 8)
- Reaction thermodynamics (Unit 2, Lecture 1) and kinetics (Unit 2, Lecture 2)

# Lecture 3: Curly Arrows for Electron Movement

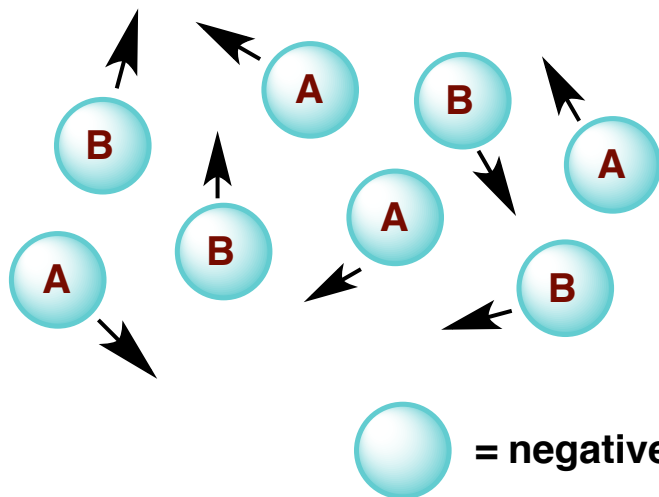
## Key learning objectives:

- Understand repulsive and attractive interactions that can exist between molecules
- Understand the ways in which molecules can react with each other
- The definitions of nucleophiles and electrophiles
- Be able to identify nucleophiles and electrophiles
- Using curly arrows to represent electron movement
- Be able to draw curly arrow mechanisms for fundamental processes including nucleophilic attack, loss of a leaving group, proton transfers and sigma bond migration
- Be able to identify the orbitals associated with a curly arrow

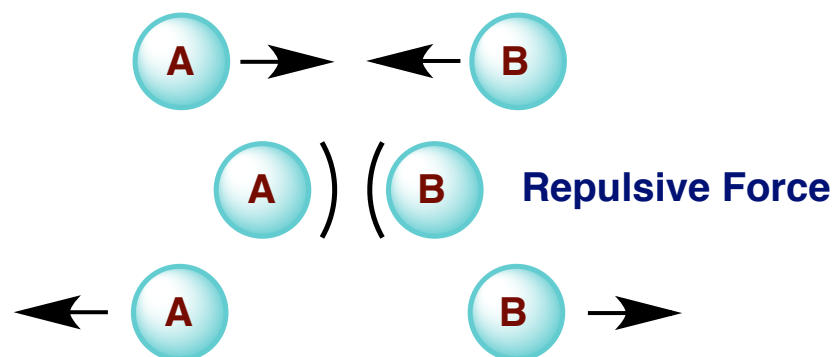
# Why Molecules Generally *Don't* React

- Molecules are coated with a layer of electrons that occupy bonding and non-bonding orbitals.
- As a result, the surface of each molecule is negatively charged and most molecules repel each other.

Molecules of A and B moving randomly



Molecules of A and B encounter each other with insufficient energy to react



Reactions can only occur if molecules collide with enough energy to overcome this repulsion – **activation energy ( $E_a$ )**. Recap Unit 2 Lecture 2

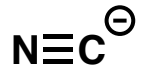


# What Brings Molecules Together

- Electrostatic attraction brings molecules together

## Charged Molecules

negatively charged



cyanide

electrostatic attraction

C=O dipole



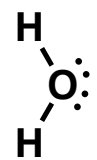
formaldehyde



Reminder: Electronegativities for carbonyl C=O polarisation  
Oxygen - 3.44, Carbon - 2.55  
Unit 1, Lecture 3

## Neutral Molecules

lone pairs of electrons



water

electrostatic attraction

C=O dipole

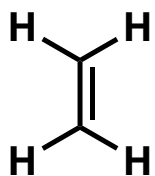


formaldehyde



- Orbital overlap brings molecules together

Filled  $\pi$  bond



ethylene

orbital interaction

Empty  $\sigma^*$  orbital



Bromine



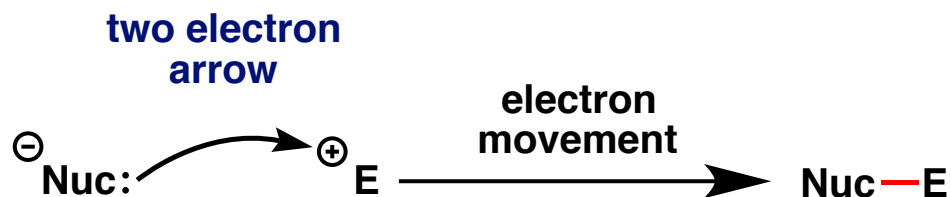
hybridisation and molecular orbitals  
Unit 1, Lecture 2



- Attractive forces help overcome electronic repulsion and lower activation energy,  $E_a$

# Nucleophiles and Electrophiles

- When attractive interactions bring two molecules close together, electrons can move from one molecule to another, resulting in a **reaction**
- A molecule that is **electron-rich** and can **donate** electrons is called a **nucleophile** – it *loves* to attack a *nucleus*
- A molecule that is **electron-poor** and can **accept** electrons is called an **electrophile** – it *loves* to accept *electrons*
- A bond forms when electrons move from a nucleophile to an electrophile



- In the upcoming slides, we will learn how to identify which molecules are **nucleophiles** (electron-rich) and which are **electrophiles** (electron-poor)

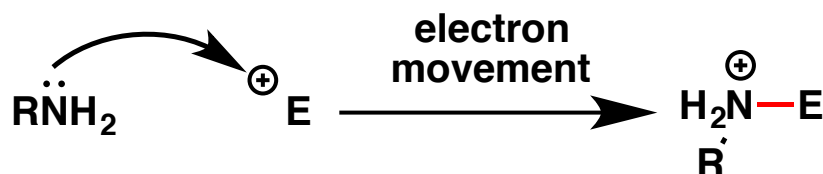
# Identifying Nucleophiles

- A molecule that is **electron-rich** and can **donate** electrons is called a **nucleophile** – it *loves* to attack a *nucleus*
- A **nucleophile** is any molecule able to donate a pair of electrons from a filled high-energy orbital, forming a new bond

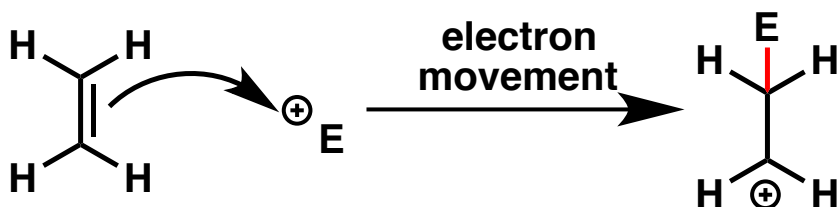
## Negatively charged - lone pair of electrons



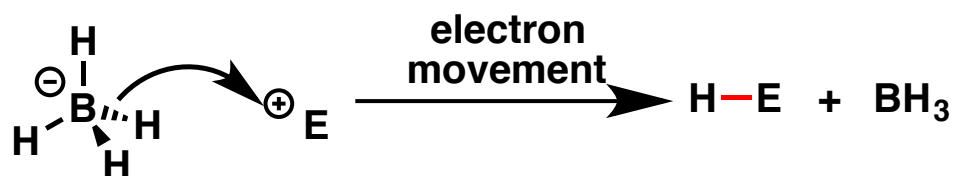
## Neutral - lone pair of electrons



## Filled $\pi$ bond containing 2 electrons



## Filled $\sigma$ bond containing 2 electrons



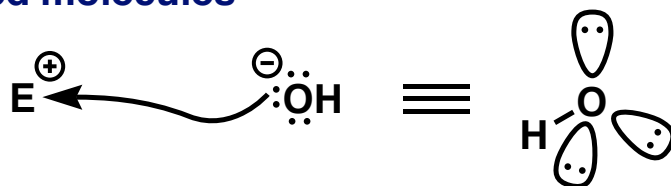
- Let's consider a few examples of each class

# Identifying Nucleophiles

- Nucleophiles** can donate a pair of electrons from their highest (energy) occupied molecular orbitals (HOMOs). Consider the following examples:

## Negatively charged molecules

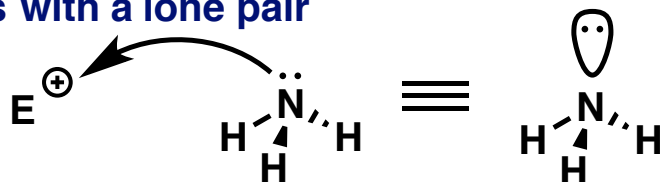
### Hydroxide



oxygen atom  $sp^3$  hybridised  
HOMO = filled non-bonding  $sp^3$  orbital

## Neutral molecules with a lone pair

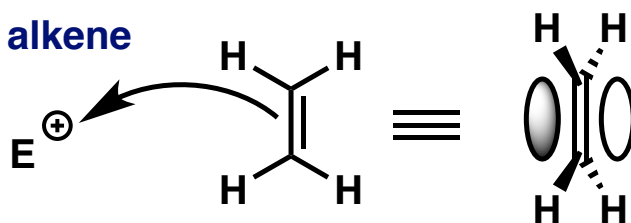
### Ammonia



nitrogen atom  $sp^3$  hybridised  
HOMO = filled non-bonding  $sp^3$  orbital

## A $\pi$ bond - e.g. an alkene

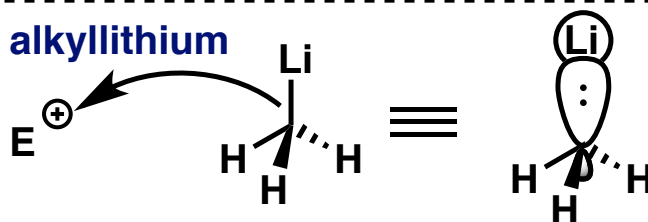
### Ethene



carbon atoms  $sp^2$  hybridised  
HOMO = C=C  $\pi$  bond

## A $\sigma$ bond - e.g. an alkyl lithium

### methyllithium



carbon atom  $sp^3$  hybridised  
HOMO = C-Li  $\sigma$  bond

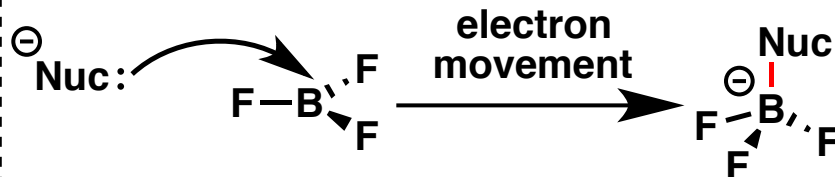
# Identifying Electrophiles

- A molecule that is **electron-poor** and can **accept** electrons is called an **electrophile** – it *loves* to accept *electrons*
- An **electrophile** is any molecule able to accept a pair of electrons into an empty low-energy orbital, forming a new bond

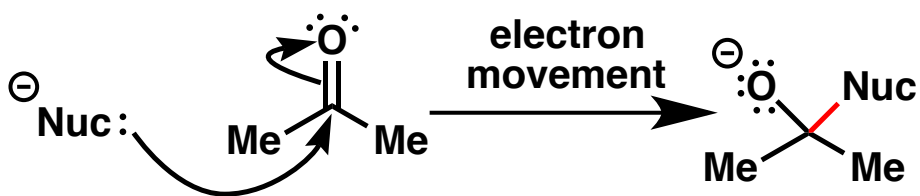
## Positively charged - missing valence electrons



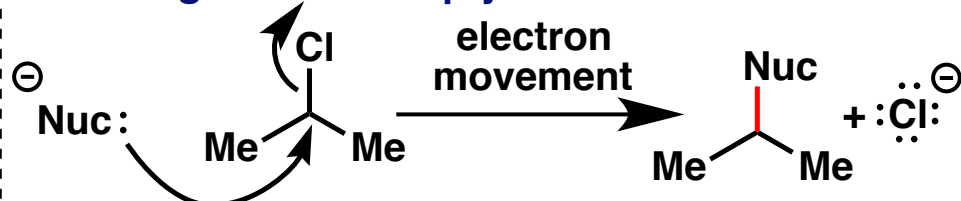
## Neutral molecules - missing valence electrons



## Breaking $\pi$ bond - empty $\pi^*$ orbital



## Breaking $\sigma$ bond - empty $\sigma^*$ orbital



- Let's consider a few examples of each class

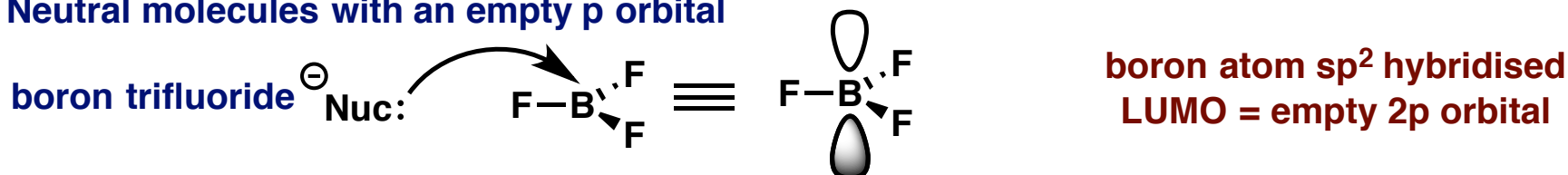
# Identifying Electrophiles

- Electrophiles** can accept a pair of electrons into their lowest (energy) unoccupied molecular orbitals (LUMOs). Consider the following examples:

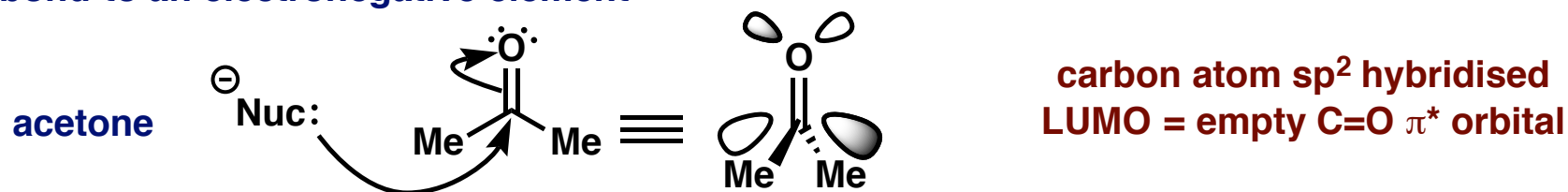
## Positively charged molecules with an empty orbital



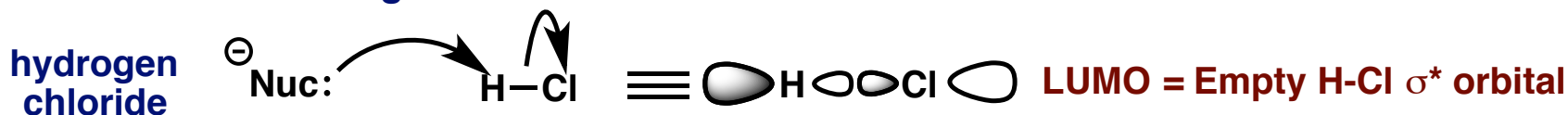
## Neutral molecules with an empty p orbital



## A $\pi$ bond to an electronegative element



## A $\sigma$ bond to an electronegative element



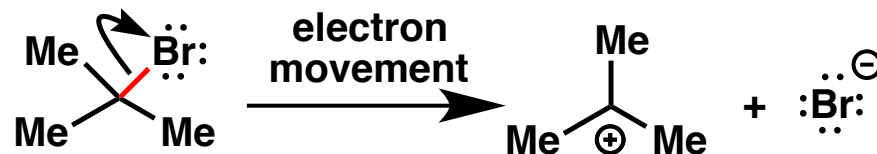
# Mechanisms and Curly Arrow Pushing

- You have now seen a few examples of curly arrows representing the **movement of electrons** during a reaction. Now we need to discuss this in detail.
- There are four main ways that electrons flow in ionic reactions:
  - 1) Nucleophilic attack
  - 2) Loss of a leaving group
  - 3) Proton transfers (acid/base)
  - 4) Sigma bond migration

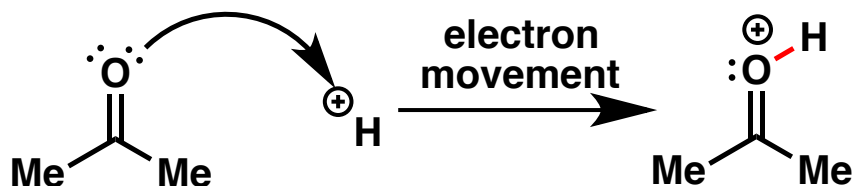
## Nucleophilic attack



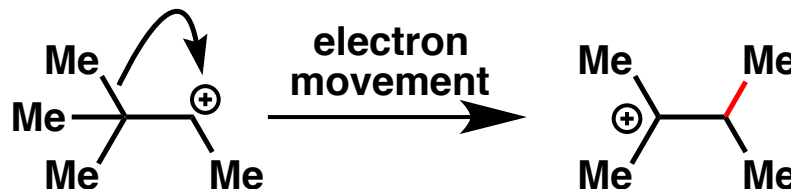
## Loss of a leaving group



## Proton transfers



## Sigma bond migration

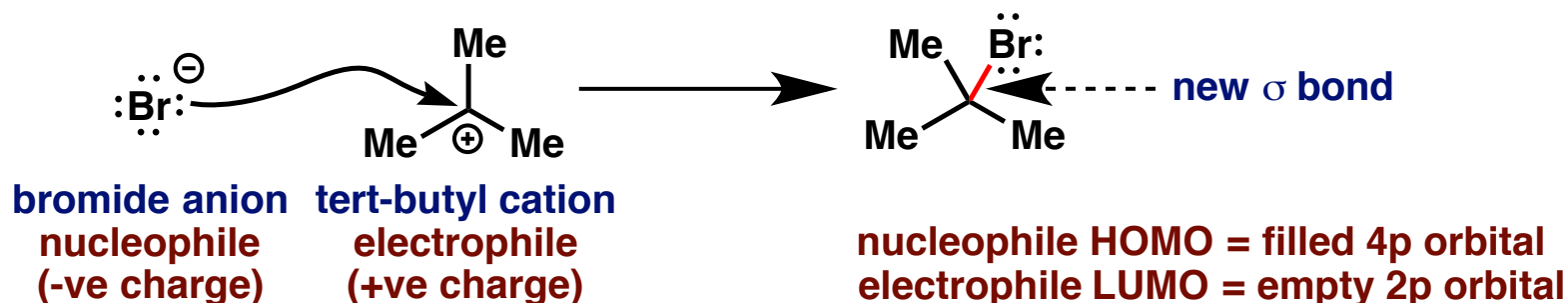


- Let's consider each of these in detail

# Curly Arrow Pushing – Nucleophilic Attack

- A **curly arrow** represents the movement of a pair of electrons from a filled orbital into an empty orbital
- Once you have identified the nucleophile and electrophile, a curly arrow can be drawn that shows the **nucleophile attacking the electrophile**

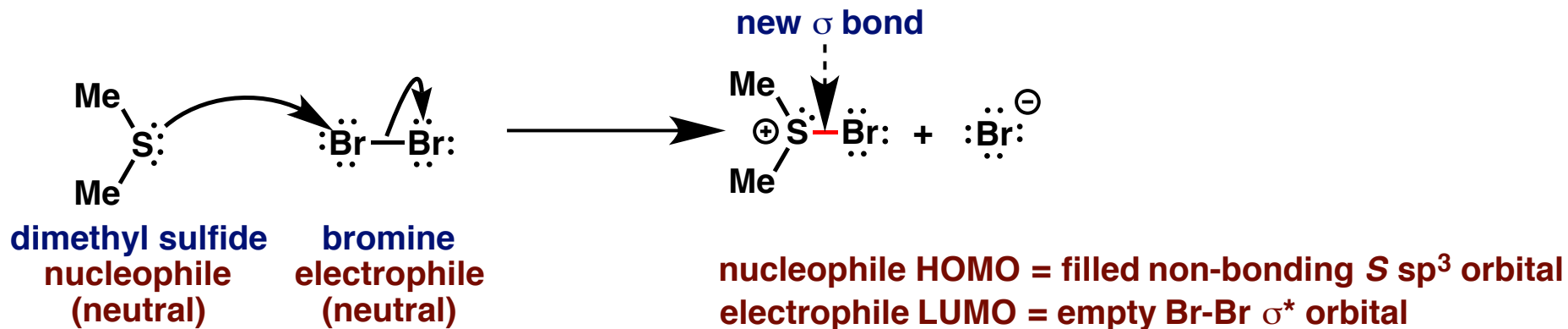
## Nucleophilic attack



- The **tail of the arrow** starts on the electrons (negatively charged nucleophile)
- The **head of the arrow** ends on a nucleus (positively charged electrophile)
- Curly arrow starts at an electron-rich centre and ends at an electron-poor centre
- Remember that there are various types of nucleophiles and electrophiles

## Worked Example 1 – Nucleophilic Attack

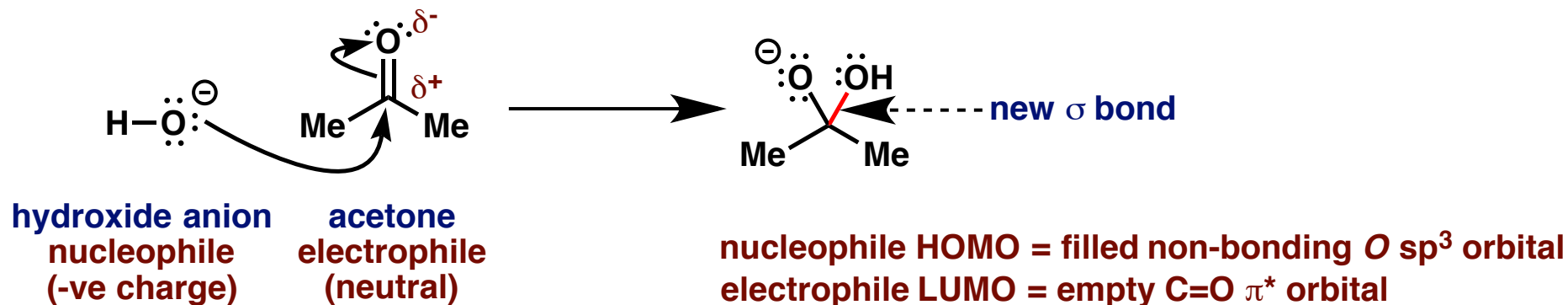
- In this case we have a neutral nucleophile (dimethyl sulfide) and a neutral electrophile (bromine)



- First curly arrow** – there is a flow of electrons from the filled non-bonding sulfur  $sp^3$  orbital into the empty Br-Br  $\sigma^*$  orbital. This orbital interaction breaks the Br-Br  $\sigma$  bond and forms a new S-Br  $\sigma$  bond
- Second curly arrow** – the Br-Br  $\sigma$  bond breaks with the two bonding electrons ending up on the negatively charged bromide anion
- The presence of two curly arrows does not mean that it occurs in two distinct steps. Indeed, as the new S-Br bond forms, the Br-Br bond breaks.

## Worked Example 2 – Nucleophilic Attack

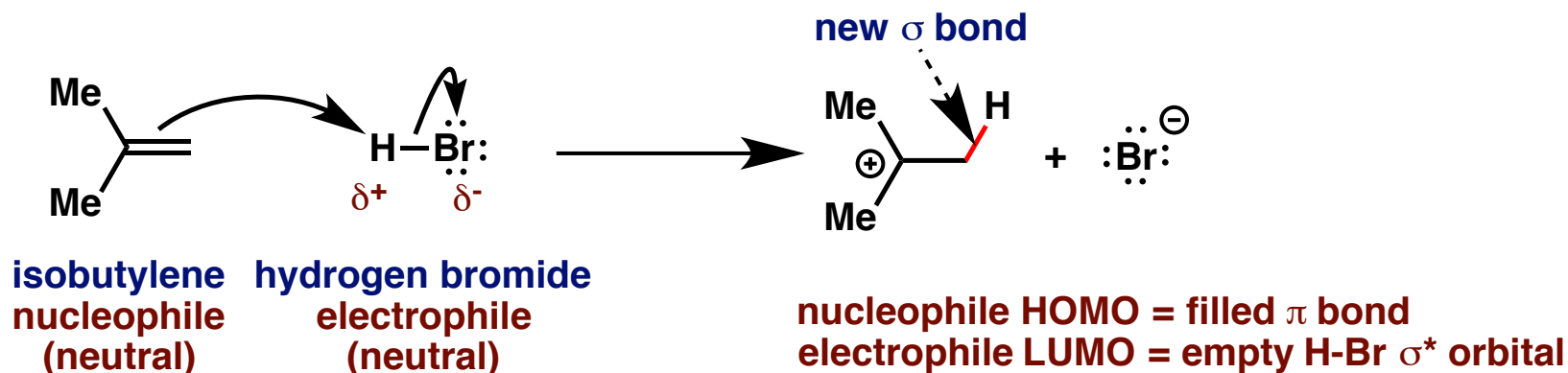
- In this case we have a negatively charged nucleophile (hydroxide anion) and a neutral electrophile (acetone)



- First curly arrow** – there is a flow of electrons from the filled non-bonding oxygen  $sp^3$  orbital into the empty C=O  $\pi^*$  orbital. This orbital interaction breaks the C=O  $\pi$  bond, leaving a C-O  $\sigma$  bond and forming a new C-O  $\sigma$  bond
- Second curly arrow** – the C=O  $\pi$  bond breaks with the two electrons ending up on the negatively charged oxygen atom (alkoxide)
- Notice that the second curly arrow ends up delivering the electrons to an electronegative atom (oxygen), satisfying its desire for electron density

## Worked Example 3 – Nucleophilic Attack

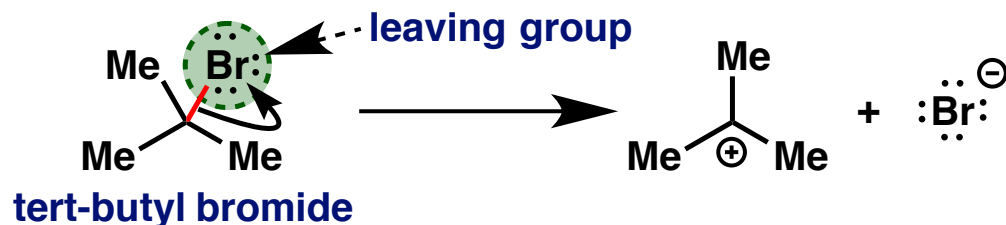
- In this case we have a neutral nucleophile (isobutylene) and a neutral electrophile (hydrogen bromide)



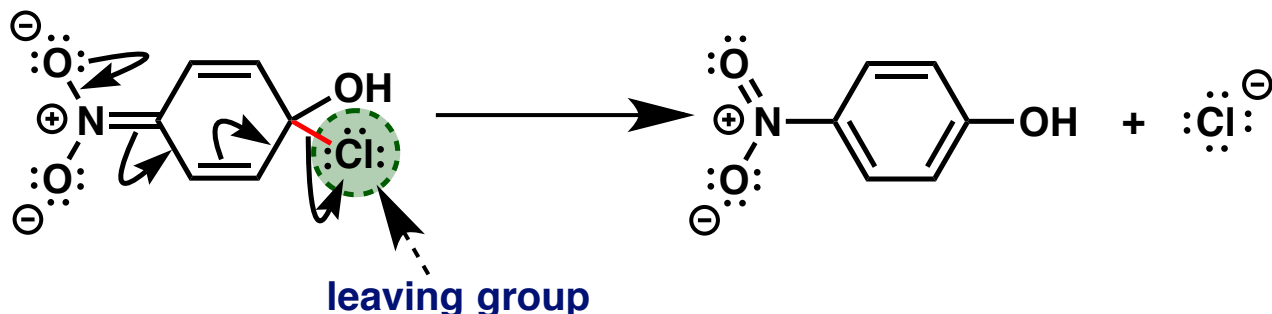
- First curly arrow** – there is a flow of electrons from the filled C=C  $\pi$  bond into the empty H-Br  $\sigma^*$  orbital. This orbital interaction breaks the H-Br  $\sigma$  bond, forming a new C-H  $\sigma$  bond
- Second curly arrow** – the H-Br  $\sigma$  bond breaks with the two bonding electrons ending up on the negatively charged bromide anion
- Notice how in all examples charge is conserved – i.e. the overall charge of the starting materials is the same as the overall charge of the products

# Curly Arrow Pushing – Loss of a Leaving Group

- Loss of a leaving group occurs when a bond breaks and one atom from the bond retains **both** electrons. The electrons move to the more electronegative atom

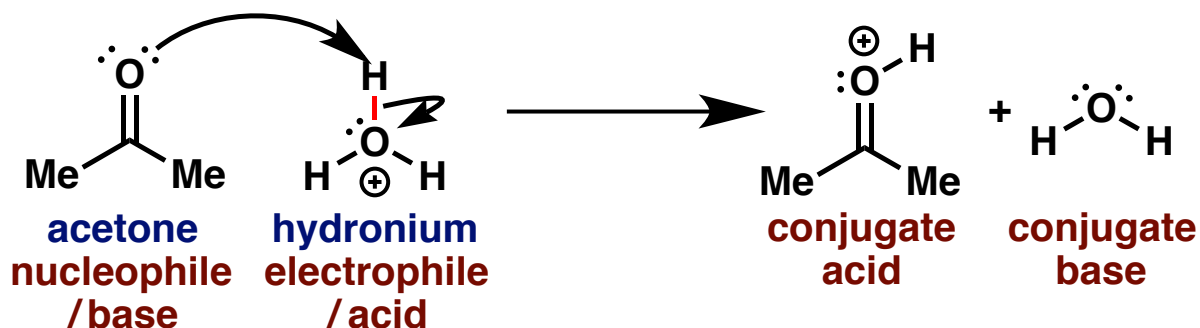


- Curly arrow** – the C-Br  $\sigma$  bond breaks with the two bonding electrons ending up on the negatively charged bromide anion, resulting in a positively charged carbocation being formed
- As with nucleophilic attack, drawing a curly arrow pushing mechanism for the loss of a leaving group may require more than one arrow

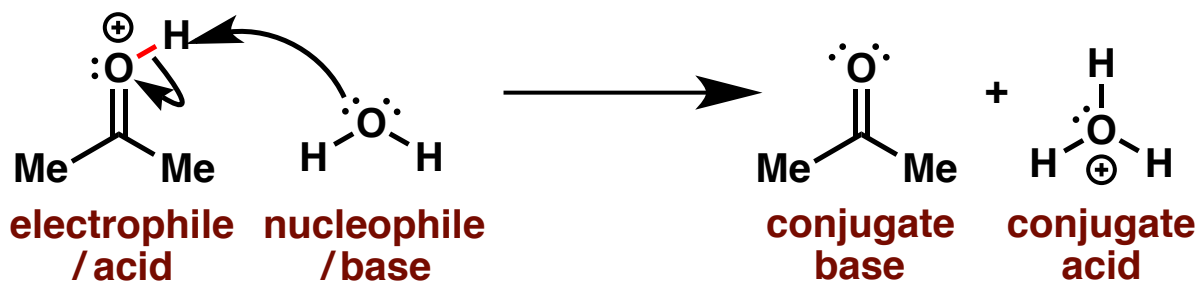


# Curly Arrow Pushing – Proton Transfer

- In acidic conditions a base can be **protonated** to form the **conjugate acid** when it uses a pair of electrons to remove  $\text{H}^+$  from an acid. Remember that  $\text{H}^+$  in aqueous solution is often drawn as  $\text{H}_3\text{O}^+$  (aqueous cation)

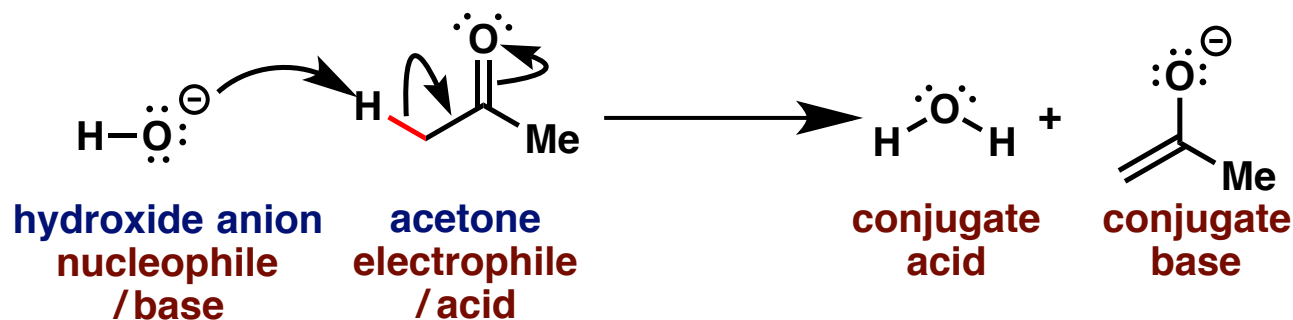


- First curly arrow** – there is a flow of electrons from the filled non-bonding  $\text{sp}^2$  orbital on oxygen into the empty O-H  $\sigma^*$  orbital. **Second curly arrow** – the O-H  $\sigma$  bond breaks with the two bonding electrons ending up on the neutral water molecule
- Proton transfer is **reversible**

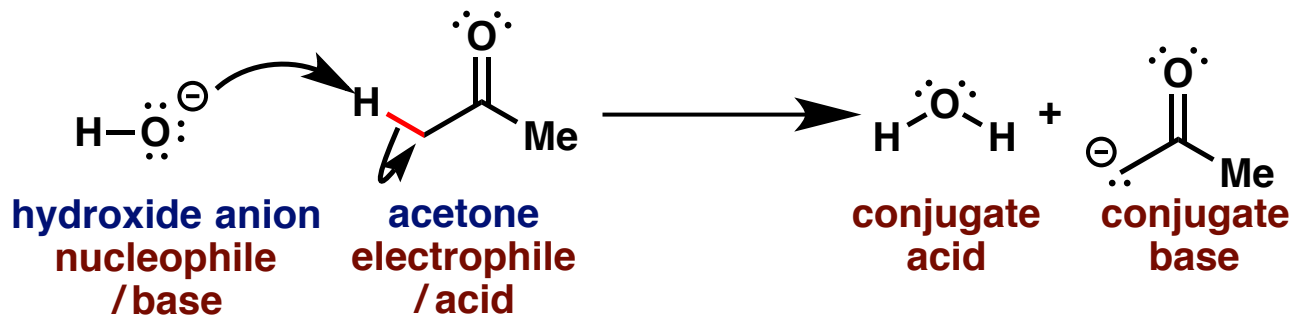


# Curly Arrow Pushing – Proton Transfer

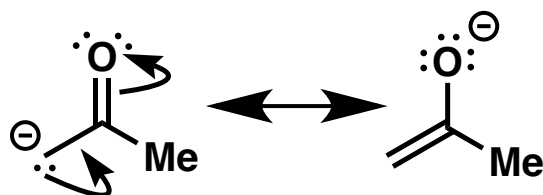
- In some cases multiple arrows may be necessary to show the complete electron flow when a proton is removed (deprotonation). Such electron flow can also be thought of as a proton transfer combined with resonance



Electron density  
flows up onto  
electronegative  
oxygen atom



These two curly  
arrows show the  
proton transfer  
step



These two curly  
arrows show resonance



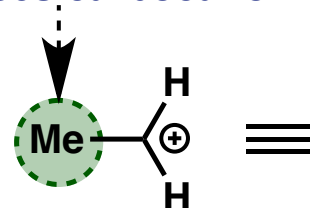
Resonance  
Unit 1, Lecture 8



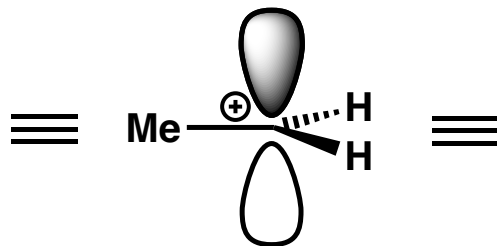
# Curly Arrow Pushing – Sigma Bond Migration

- Carbocations can be stabilised by neighbouring groups through orbital overlap known as **hyperconjugation** (inductive effect)

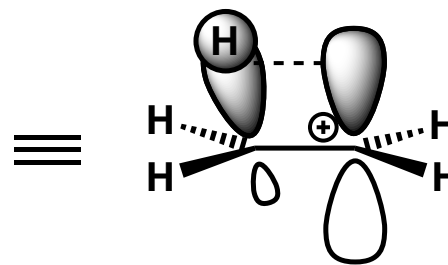
stabilises carbocation



primary carbocation

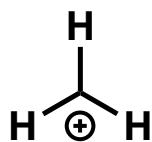


empty 2p orbital on carbon

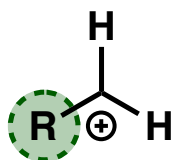


donation from filled C-H  $\sigma$  orbital into empty 2p orbital

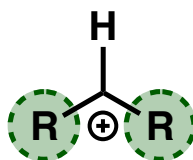
- Hyperconjugation** explains the stability trend shown below.



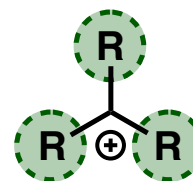
methyl cation



primary carbocation



secondary carbocation



tertiary carbocation

Increasing Stability



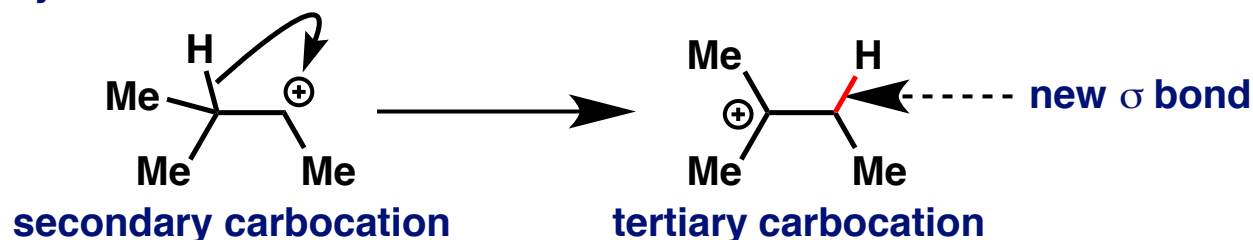
Reactive Intermediates  
Unit 1, Lectures 8 and 9



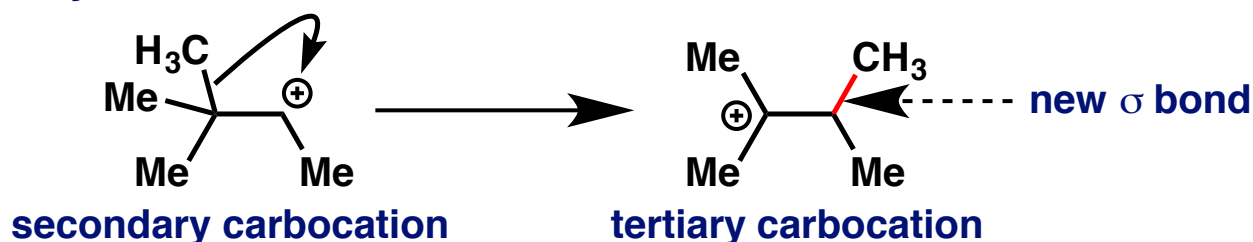
# Curly Arrow Pushing – Sigma Bond Migration

- If a carbocation can **intramolecularly** rearrange to become more stable, it will do so before reacting with an external nucleophile **intermolecularly**.
- There are two common types of carbocation rearrangements – **hydride shifts** and **alkyl shifts**. Shifts can only occur from the adjacent carbon due to orbital overlap

## Hydride Shift



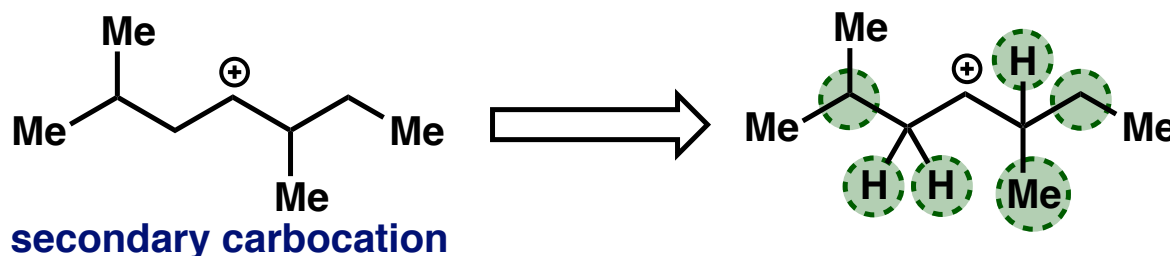
## Alkyl Shift



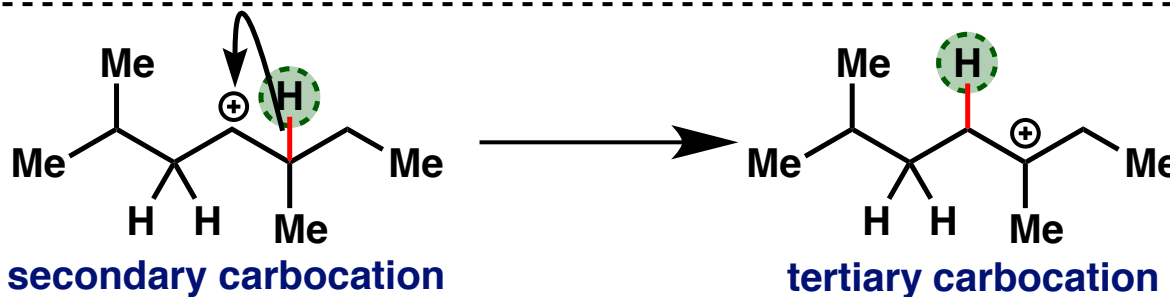
- Curly arrow** – there is a flow of electrons from a filled bonding C-H (hydride shift) or C-C (alkyl shift)  $\sigma$  bond into the empty 2p orbital on carbon.

# Curly Arrow Pushing – Sigma Bond Migration

- When you encounter a carbocation, you must consider all possible rearrangements (both hydride and alkyl shifts)



Identify all -H and -Alkyl groups on adjacent carbon atoms that are capable of shifting

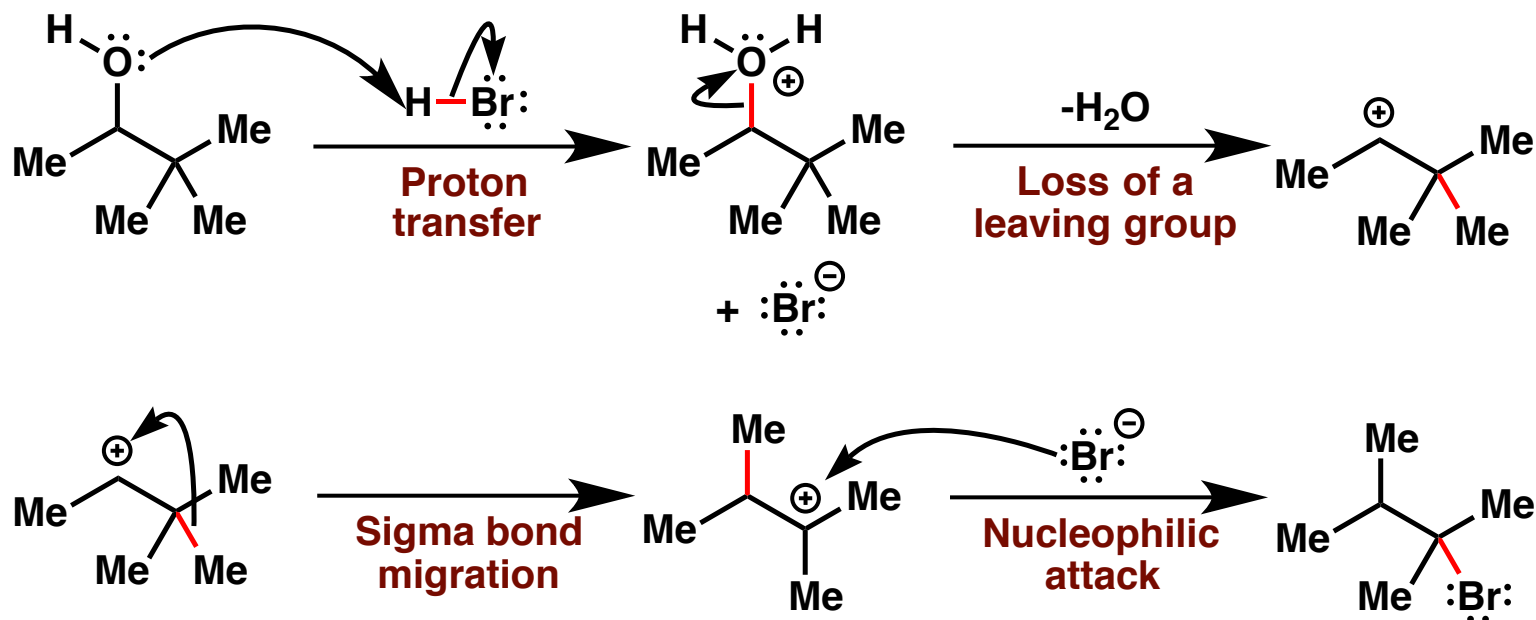


Identify which shift will give the most stable carbocation

- Curly arrow** – there is a flow of electrons from a filled bonding C-H  $\sigma$  bond into the empty 2p orbital on carbon.
- Migration of any other highlighted substituent will result in the formation of a less stable secondary carbocation – convince yourself!

## Worked Example 4 – Combining Curly Arrow Pushing

- We can now classify each step in the following mechanism:



- You should also be able to discuss each curly arrow in terms of the **filled and empty orbitals involved**.
- Practice** curly arrow pushing mechanisms as much as possible – it is central to organic chemistry!

## Curly Arrow Pushing Rules – Summary

- A curly arrow shows the movement of a pair of electrons
- The start of the curly arrow shows the source of the electron pair, which is located within the highest energy occupied molecular orbital (HOMO) of the nucleophile and can be:
  - 1) a **lone pair of electrons**
  - 2) a  **$\pi$  bond**
  - 3) a  **$\sigma$  bond**
- The end of the curly arrow shows the destination of the electron pair, which will be the lowest unoccupied molecular orbital (LUMO) of the electrophile and can be:
  - 1) an empty **atomic orbital** where a new bond will be formed
  - 2) a  **$\pi^*$  or  $\sigma^*$  antibonding orbital** where a new bond will be formed and an old bond will be broken
  - 3) an **electronegative atom** that can support a negative charge
- Overall charge is always **conserved** in a reaction
- Avoid breaking the octet rule – **never** give C, N, O or F more than 8 valence electrons

# Lecture 3: Curly Arrows for Electron Movement

## Key learning objectives:

- Understand repulsive and attractive interactions that can exist between molecules
- Understand the ways in which molecules can react with each other
- The definitions of nucleophiles and electrophiles
- Be able to identify nucleophiles and electrophiles
- Using curly arrows to represent electron movement
- Be able to draw curly arrow mechanisms for fundamental processes including nucleophilic attack, loss of a leaving group, proton transfers and sigma bond migration
- Be able to identify the orbitals associated with a curly arrow

# Lecture 3 Revision

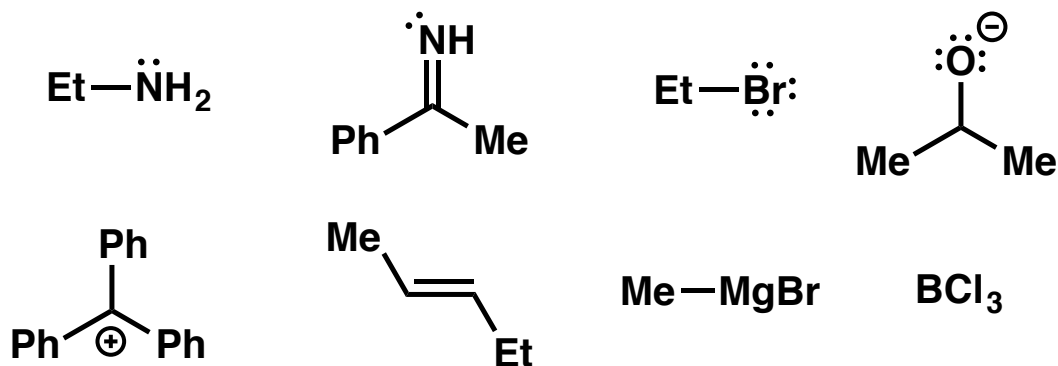
**To reinforce your understanding of the contents of this lecture, please refer to:**

- *Organic Chemistry 2<sup>nd</sup> Ed.* (J. Clayden et al.) Chapter 5 pp. 107-124 and Chapter 15 pp. 334-349.
- Practice questions provided on the next two slides.
- Online practice questions <http://www.oxfordtextbooks.co.uk/orc/clayden2e/>  
Username: clayden2e Password: compound
- CH4103 Online Test 3
- CH4103 Tutorial 2
- CH4103 Workshop 2

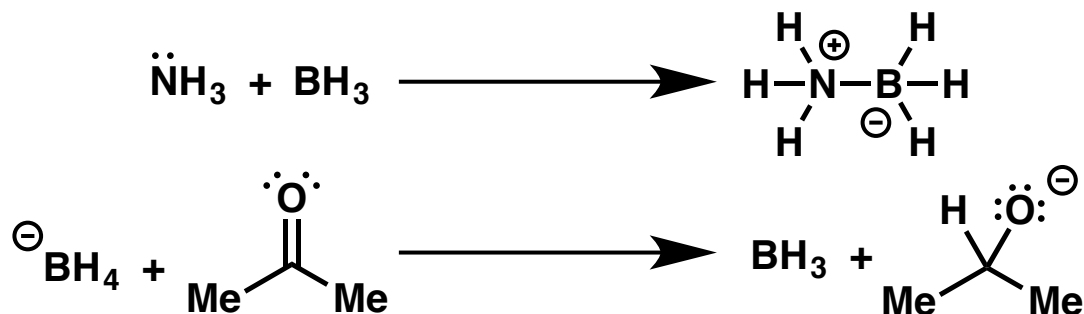
# Lecture 3 Practice Questions / Guided Self-Study

For further practice, attempt the following questions in your own time:

- Q1) Classify each of the following molecules as either nucleophiles or electrophiles and identify the associated HOMO or LUMO



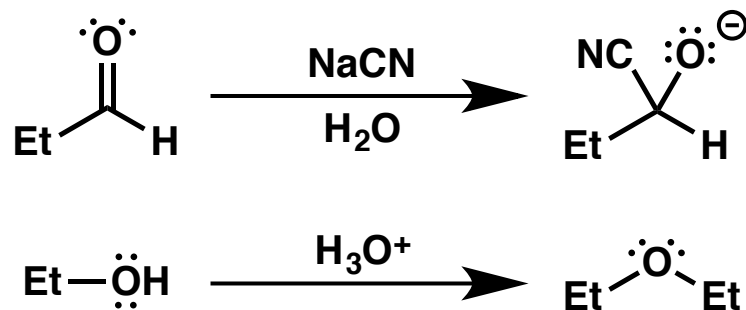
- Q2) For both of the reactions show below, identify the nucleophile and electrophile and their associated HOMOs and LUMOs, draw curly arrow mechanisms for the products formed.



## Lecture 3 Practice Questions / Guided Self-Study

For further practice, attempt the following questions in your own time:

- Q3) Draw curly arrow pushing mechanisms for all steps to form the products shown. Classify all steps.



# **CH4103 Organic and Biological Chemistry**

## **LCM Lecture 4**

**Dr Louis C. Morrill**  
**School of Chemistry, Cardiff University**  
**Main Building, Rm 1.47B**  
**MorrillLC@cardiff.ac.uk**

**Autumn Semester**



# Lecture 4 Preparation

 **To best prepare yourself for the contents of this lecture, please refresh** 

- Atomic and molecular orbitals (Unit 1, Lecture 2)
- Molecular shape and hybridisation (Unit 1, Lecture 2)
- Sigma and pi bonds (Unit 1, Lecture 2)
- Electronegativity and bond polarisation (Unit 1, Lecture 3)
- Stereochemistry (Unit 1, Lecture 4-7)
- Reactive intermediates – carbocations (Unit 1, Lecture 8)
- Acids and bases –  $pK_a$  (Unit 1, Lecture 9)
- Reaction thermodynamics (Unit 2, Lecture 1) and kinetics (Unit 2, Lecture 2)
- Curly arrow pushing mechanisms (Unit 2, Lecture 3)

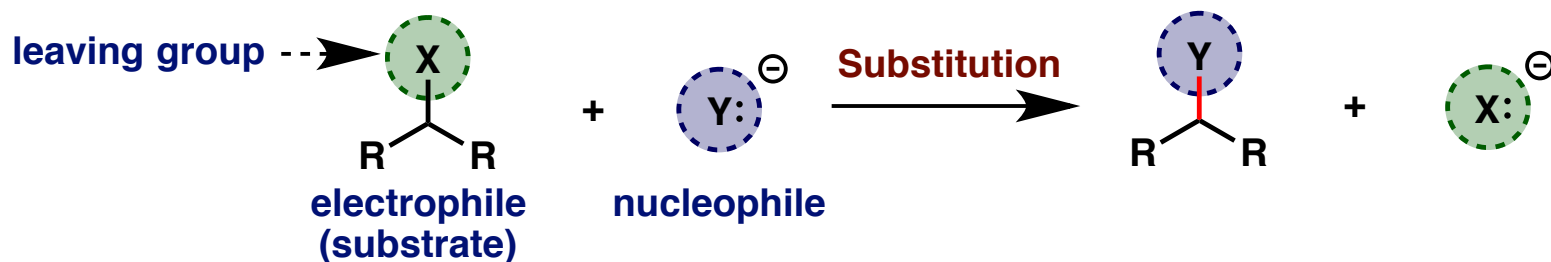
# Lecture 4: Introduction to Substitution Reactions – S<sub>N</sub>2

## Key learning objectives:

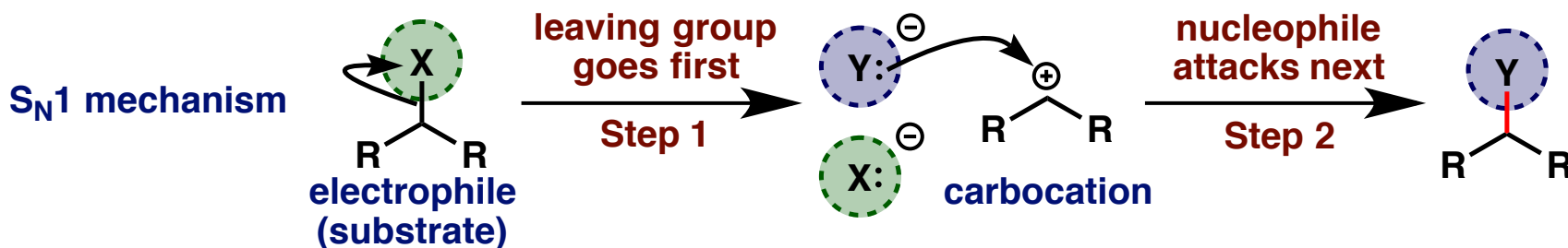
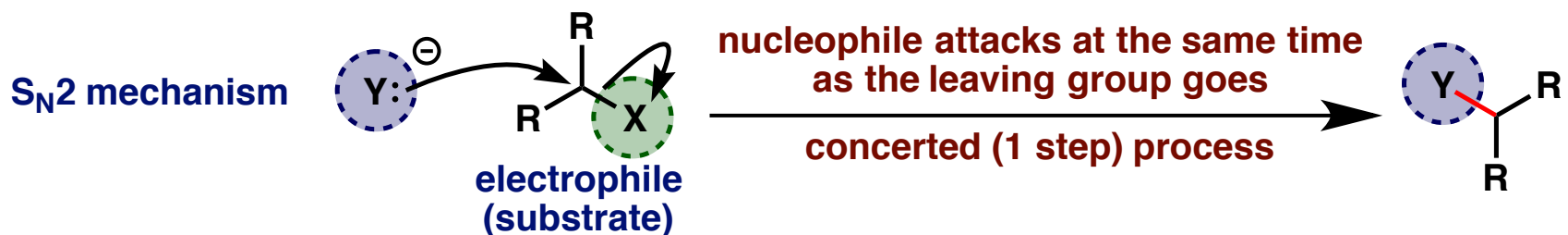
- Know the difference between the possible mechanisms for nucleophilic substitution at saturated carbon – S<sub>N</sub>2 and S<sub>N</sub>1
- Understand what makes a good leaving group
- The rate law for a S<sub>N</sub>2 reaction
- The free energy diagram for a S<sub>N</sub>2 reaction
- The curly arrow pushing mechanism, molecular orbital analysis, transition state and stereochemical outcome of a S<sub>N</sub>2 reaction
- The factors that favour a S<sub>N</sub>2 mechanism including the nature of the substrate, nucleophile, solvent and leaving group

# Nucleophilic Substitution at Saturated Carbon

- A substitution reaction exchanges one group for another

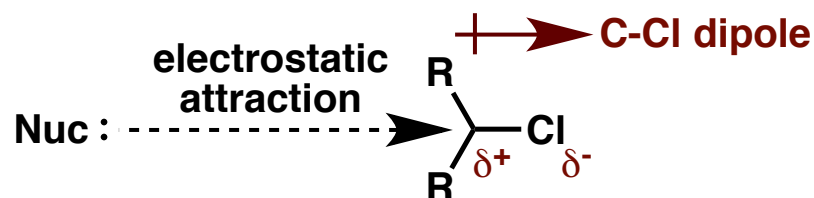


- This process can happen in two separate ways:
- 1) Nucleophile attacks at the same time as the leaving group goes – **S<sub>N</sub>2 mechanism**
- 2) Leaving group goes first, forming a carbocation intermediate that is attacked by a nucleophile in a second step – **S<sub>N</sub>1 mechanism**



# Leaving Group in Substitution Reactions

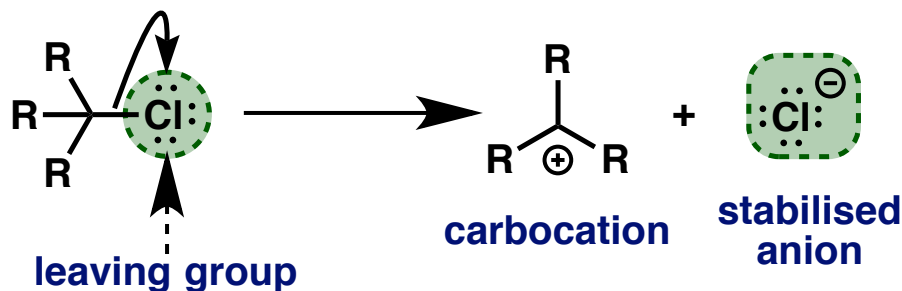
- Some leaving groups encourage a substitution reaction better than others. A leaving group must:
  - 1) Be more electronegative than carbon, creating a partial positive charge ( $\delta^+$ ) on carbon that will attract the negative charge of the nucleophile



Reminder: Electronegativities for C-Cl polarisation  
 Chlorine - 3.16, Carbon - 2.55  
 Unit 1, Lecture 3



- 2) Be able to stabilise the electrons it leaves with



# Leaving Group in Substitution Reactions

- A good leaving group is the conjugate base of a strong acid – i.e. acids with low  $pK_a$

Stronger acids  
Most stable conjugate base  
(low  $pK_a$ )



Weaker acids  
Least stable conjugate base  
(high  $pK_a$ )

Acid	$pK_a$	Conjugate Base / Leaving Group	Name
HI	-10	I <sup>-</sup>	Iodide
HBr	-9	Br <sup>-</sup>	Bromide
HCl	-8	Cl <sup>-</sup>	Chloride
HOSO <sub>2</sub> R	-3	<sup>-</sup> OSO <sub>2</sub> R	Sulfonate
H <sub>3</sub> O <sup>+</sup>	-1.7	H <sub>2</sub> O	Water
HF	+3.2	F <sup>-</sup>	Fluoride
H <sub>2</sub> S	+7.0	HS <sup>-</sup>	Thiolate
HCN	+9.4	<sup>-</sup> CN	Cyanide
H <sub>2</sub> O	+15.7	<sup>-</sup> OH	Hydroxide
HOCH <sub>2</sub> CH <sub>3</sub>	+15.9	<sup>-</sup> OCH <sub>2</sub> CH <sub>3</sub>	Ethoxide
HOR	+16 to +18	<sup>-</sup> OR	Alkoxide

Good Leaving Groups

Bad Leaving Groups

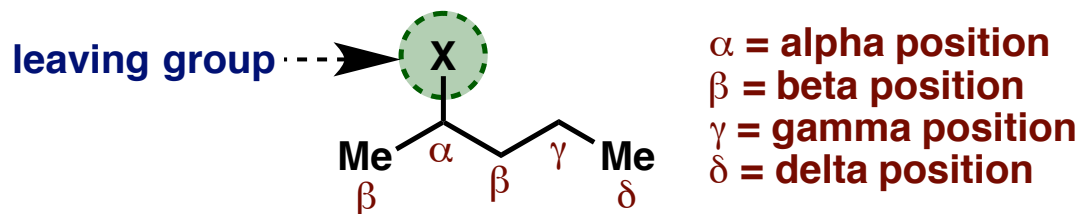


Reminder: acids and bases  
Unit 1, Lecture 9

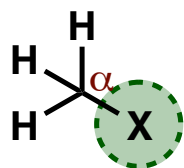


# Substrates for Substitution Reactions

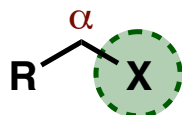
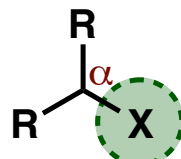
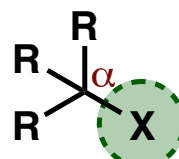
- The structure of the substrate is key in substitution reactions.
- Greek letters are often used to label the carbons of the alkyl group attached to the leaving group (X). Substitution reactions occur at the **α carbon**. Why?



- The amount of branching at the  $\alpha$  carbon greatly affects the reaction mechanism for substitution reactions. There are four types of substrate to consider:



Methyl

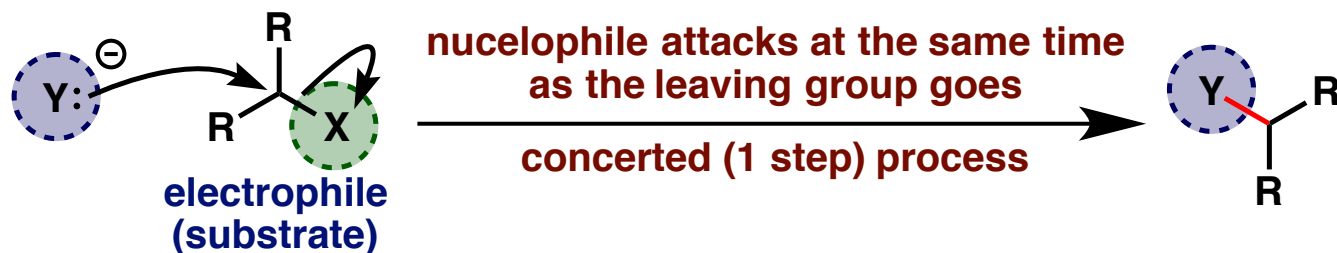
Primary  
(1°)Secondary  
(2°)Tertiary  
(3°)

$R \neq H$   
**X = Leaving Group**

# The S<sub>N</sub>2 Reaction – Rate Law

- For many substitution reactions, the rate is proportional to **both the concentration of the nucleophile and the concentration of the substrate**, giving the following rate law:

## Curly Arrow Pushing Mechanism



## Rate Law

$$\text{Rate} = \frac{d[\text{Products}]}{dt} = k_{\text{obs}}[\text{nucleophile}]^1[\text{substrate}]^1$$

recap Reaction Kinetics Unit 2, Lecture 2 recap

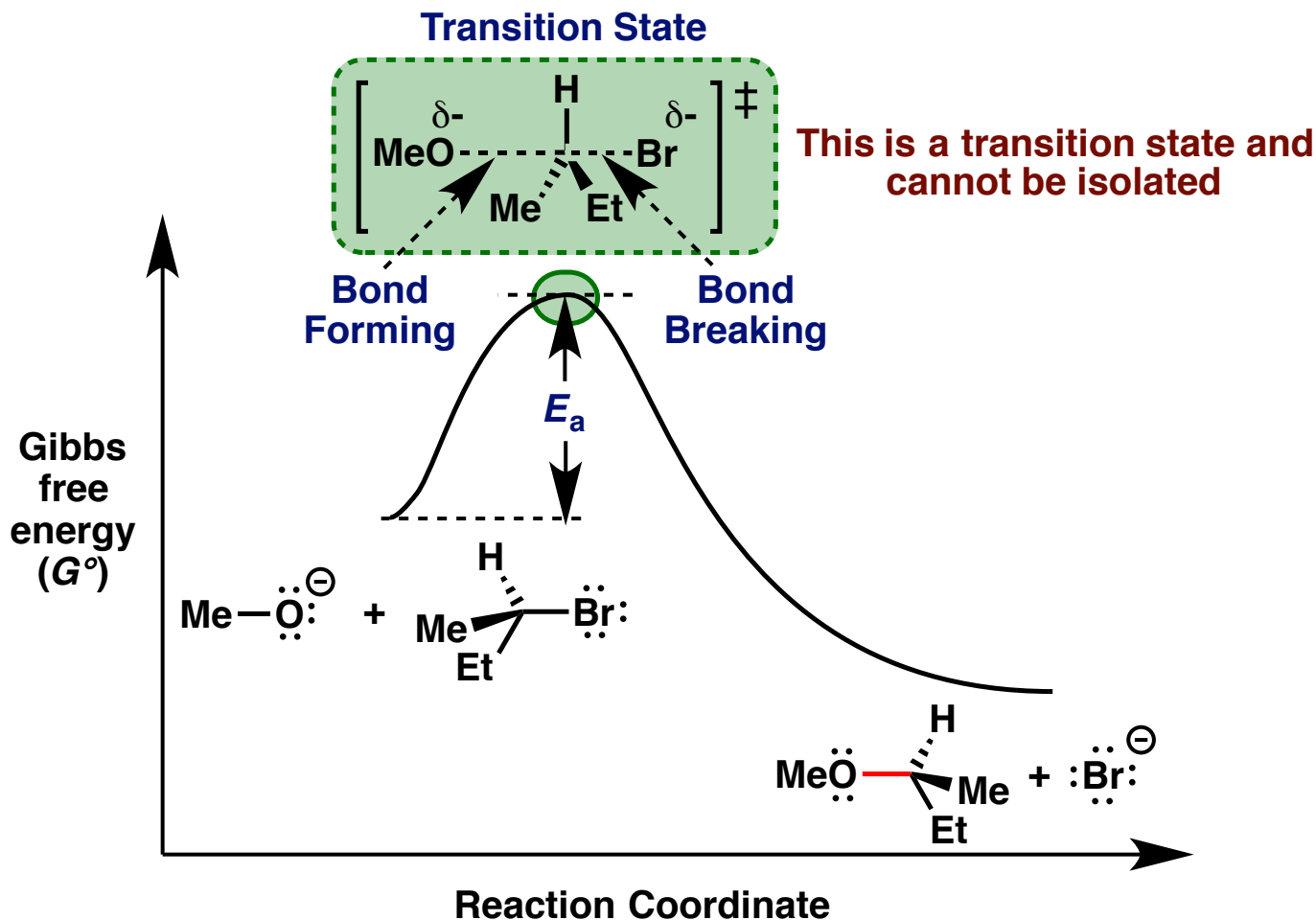
- This dependence implies that **both** species are involved in the rate determining step of the reaction, i.e. the step with the highest activation energy,  $E_a$ .

Substitution **S** **N** **2** Bimolecular - two species involved in rate-determining step

Nucleophilic

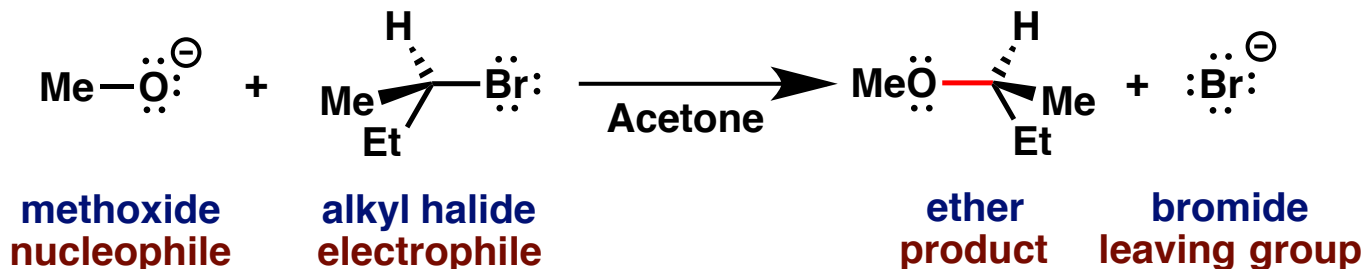
# The S<sub>N</sub>2 Reaction – Free Energy Diagram

- The S<sub>N</sub>2 reaction proceeds through a **five-coordinate transition state** that results in an inversion of configuration at the carbon centre

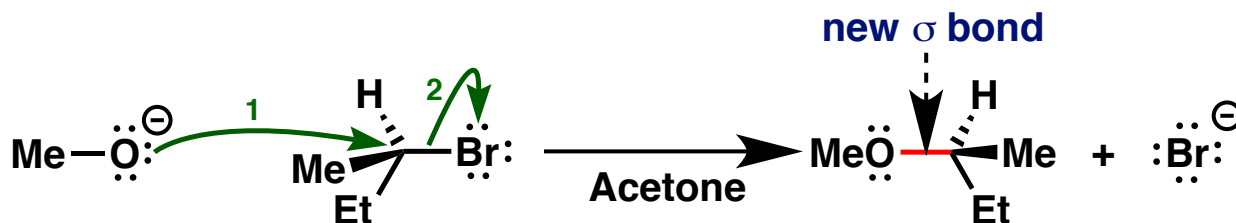


# The S<sub>N</sub>2 Reaction – Curly Arrow Pushing Mechanism

- The Williamson ether synthesis forms an ether from an organohalide and a deprotonated alcohol (alkoxide). Consider the substitution reaction shown below:



- We should now be able to draw a curly arrow pushing mechanism and identify the key orbital interaction associated with this movement of electrons

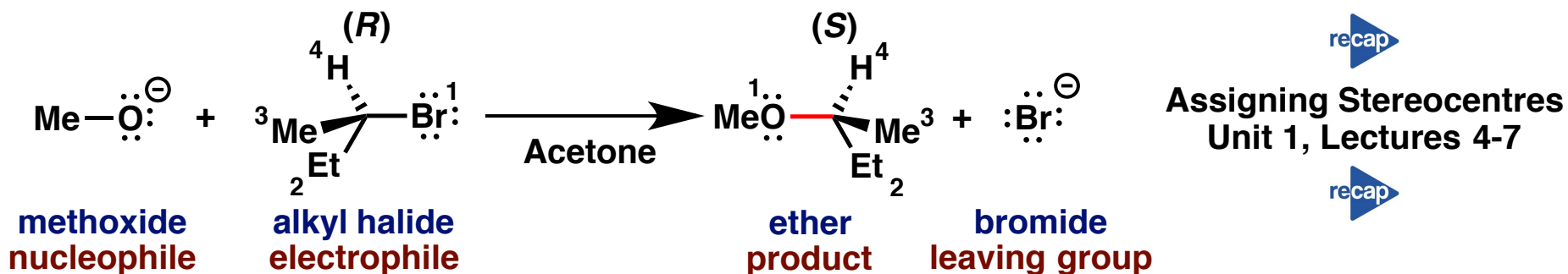


## key orbital interactions

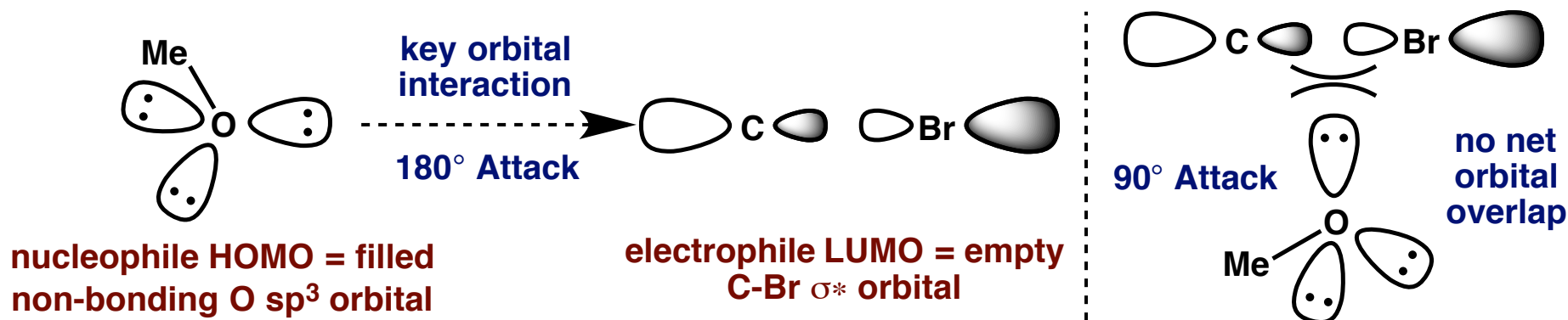
- Curly arrow 1 - filled non-bonding O sp<sup>3</sup> orbital to empty C-Br  $\sigma^*$  orbital, forming new C-O  $\sigma$  bond
- Curly arrow 2 - breaking of C-Br  $\sigma$  bond with two bonding electrons ending up on bromide anion

# The S<sub>N</sub>2 Reaction – Stereochemistry

- The S<sub>N</sub>2 reaction proceeds with **inversion of configuration** at the carbon centre

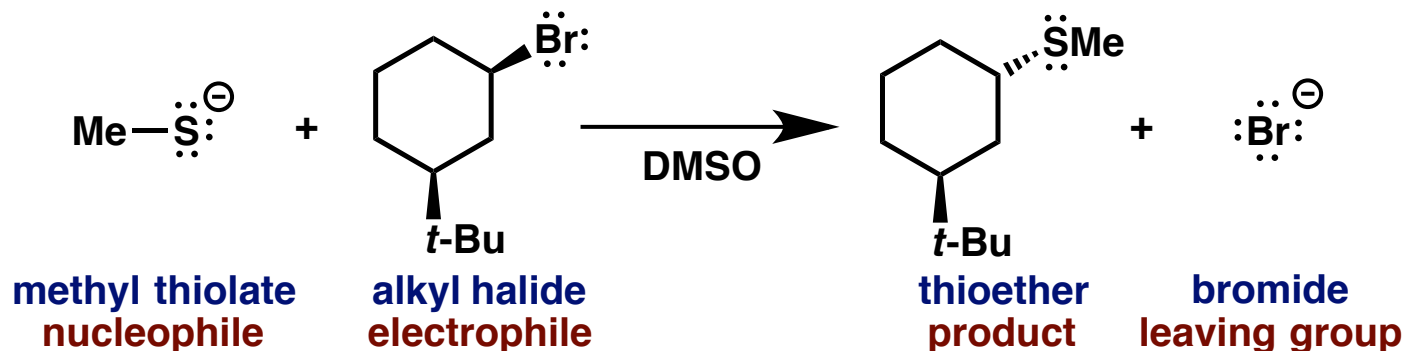


- The nucleophile **must** attack opposite (180°) to the leaving group in order to donate electron density into the C-Br σ\* orbital. This proceeds through a five coordinate transition state that results in an inversion of configuration at the carbon centre

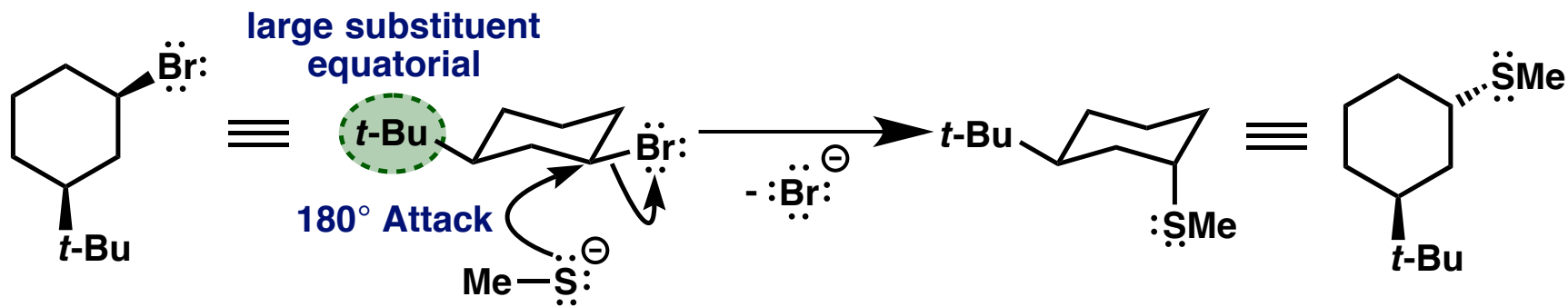


# Worked Example 1 – S<sub>N</sub>2 on a Cyclic System

- Consider the following reaction involving a cyclic alkyl halide:

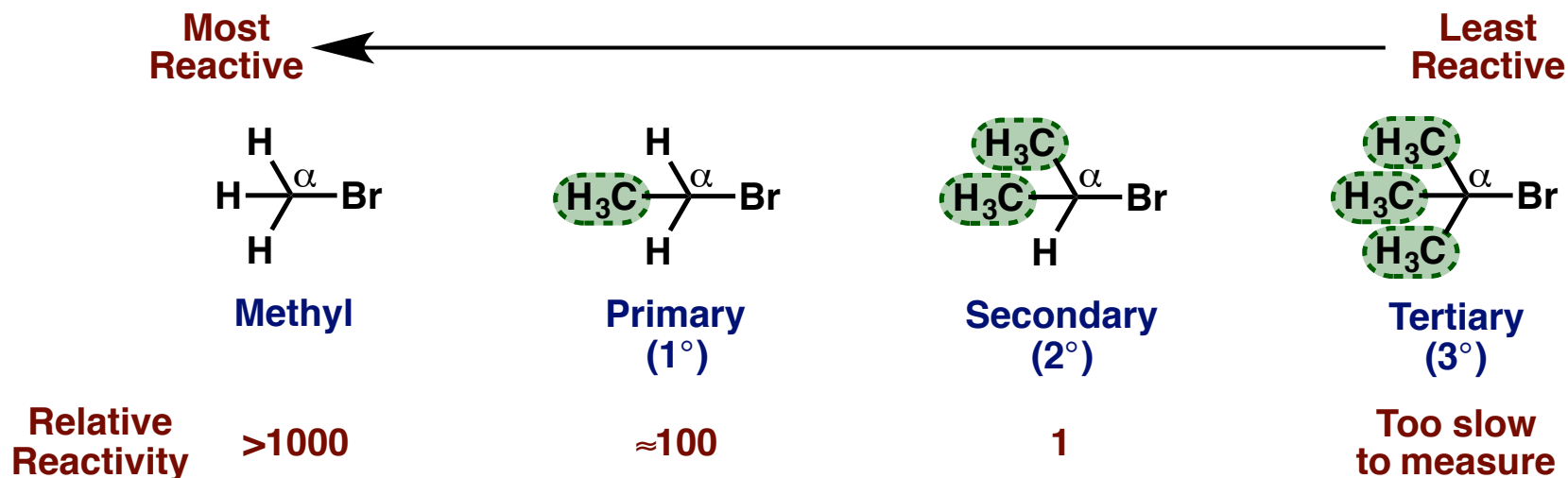


- We must be able to draw the molecule in 3-D and then show curly arrows

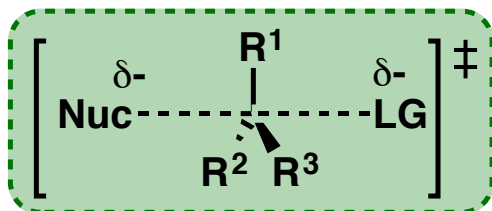


# The S<sub>N</sub>2 Reaction – Substrate Dependence (Sterics)

- The degree of **steric congestion** (size) within the substrate makes a big difference to the rate of a S<sub>N</sub>2 reaction



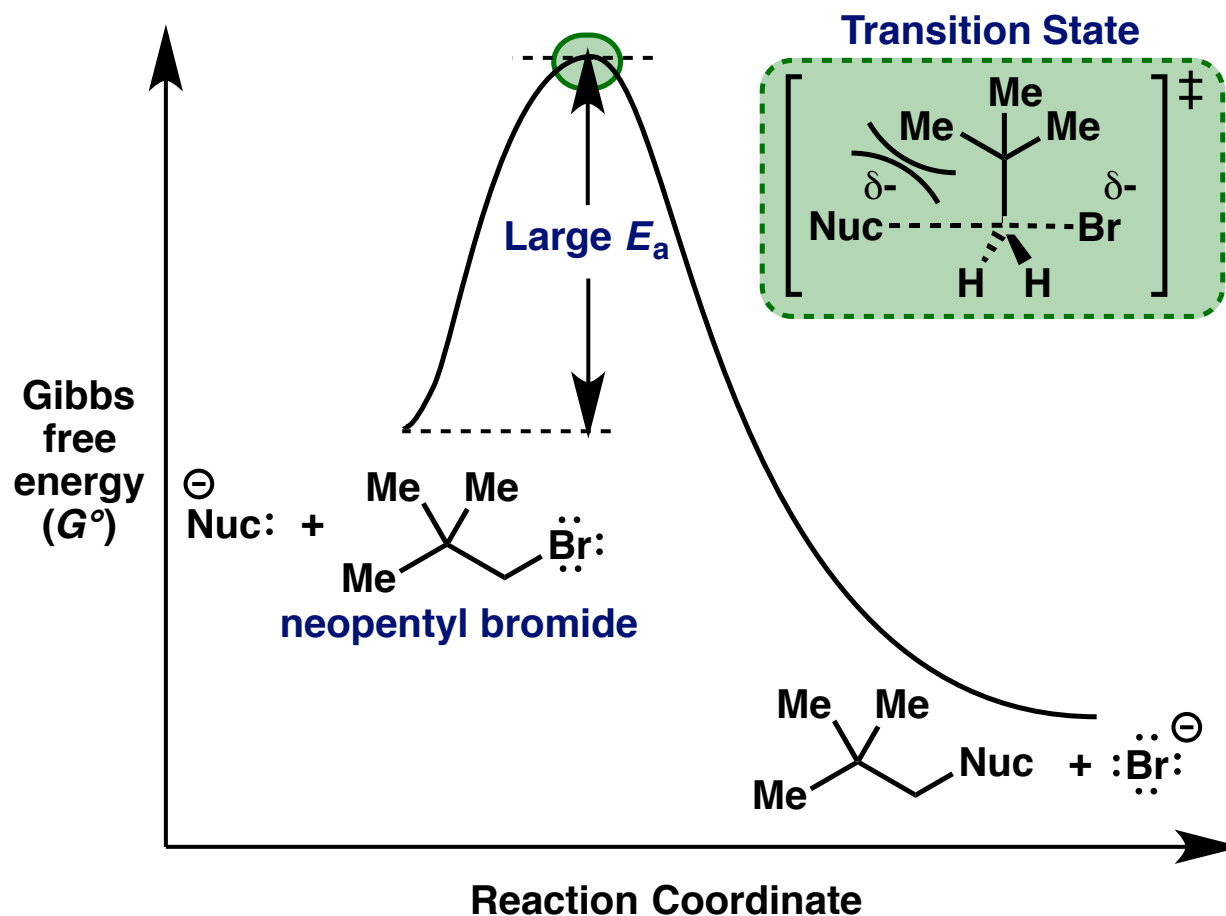
- This trend can be easily understood – we are trying to create a carbon centre at the transition state that have **five** groups around it. The more steric congestion around the carbon centre, the more difficult it is for the nucleophile to reach the carbon



When R groups = H → fast reaction  
When one or more R groups = alkyl → slower reaction

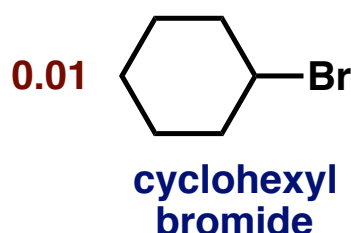
# The S<sub>N</sub>2 Reaction – Substrate Dependence (Sterics)

- **Neopentyl bromide** undergoes substitution extremely slowly despite being a primary alkyl halide. This is due to the large steric congestion in the transition state resulting in a large activation energy,  $E_a$ , for reaction.



# The S<sub>N</sub>2 Reaction – Substrate Dependence (Sterics)

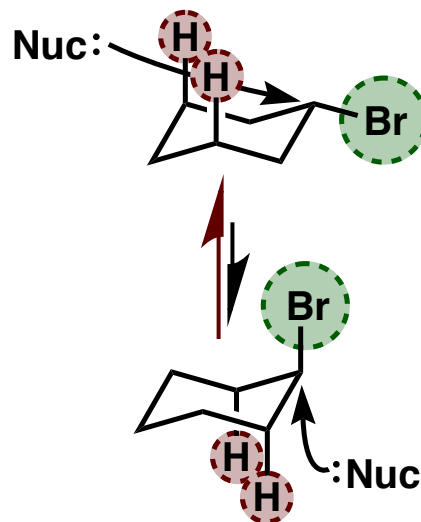
- Cyclohexyl bromide** undergoes substitution slower than isopropyl bromide despite both being secondary alkyl bromides. This is due to two axial hydrogen atoms interfering with the approach of the nucleophile in cyclohexyl bromide.



**Why is cyclohexyl bromide slower in substitution reactions?**

large substituent equatorial  
more stable but  
less reactive conformer

large substituent axial  
less stable but  
more reactive conformer

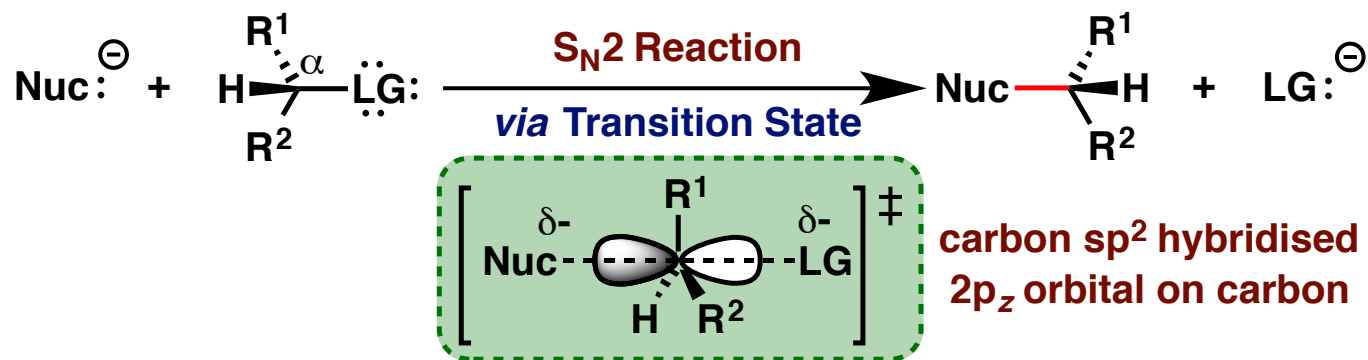


**Axial hydrogen atoms hinder nucleophile approach**

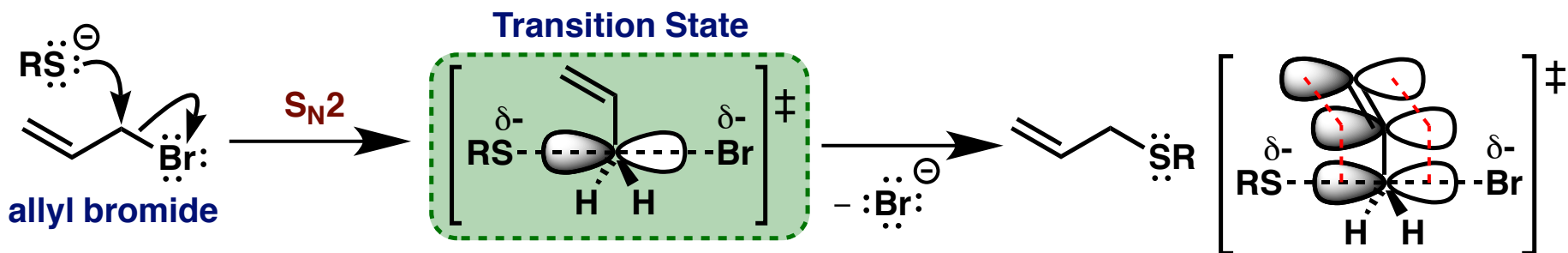
**Nucleophile approach is relatively unhindered**

# The S<sub>N</sub>2 Reaction – Substrate Dependence (Electronics)

- During the S<sub>N</sub>2 reaction, the hybridisation at carbon changes from sp<sup>3</sup> to sp<sup>2</sup> (with the 2p<sub>z</sub> atomic orbital on carbon) back to sp<sup>3</sup>. R groups that can facilitate this rehybridisation will lower the activation energy (*E*<sub>a</sub>) resulting in faster reactions.

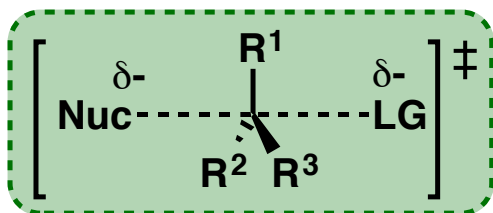


- Adjacent  $\pi$  systems (C=C, C=O etc.) attached to the carbon centre serve this role by orienting parallel to the 2p<sub>z</sub> orbital and stabilising the transition state by conjugation. Important electrophiles of this type include allyl bromide and benzyl bromide

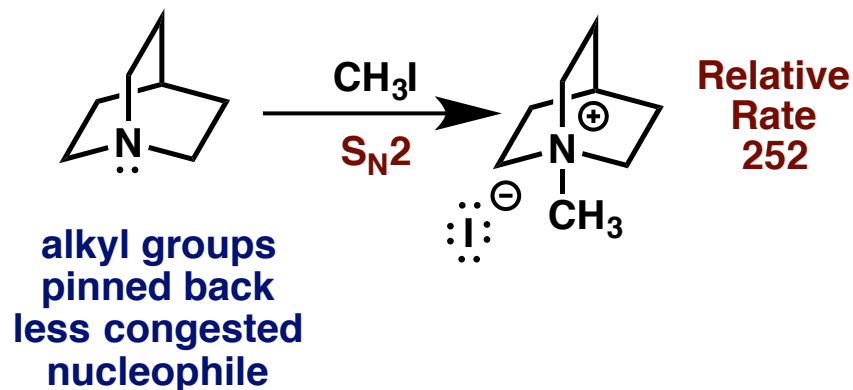
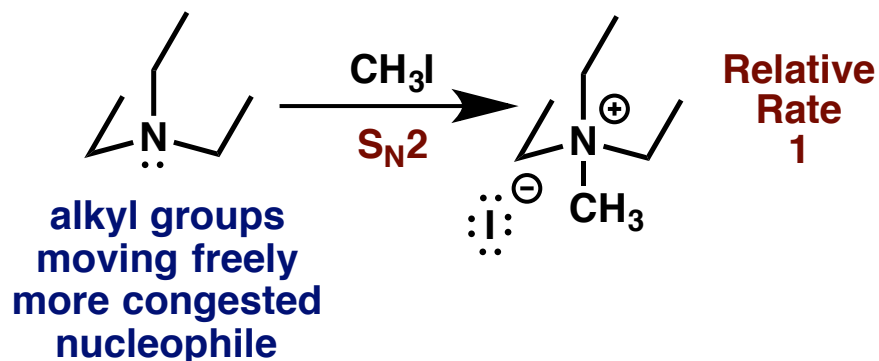


# The S<sub>N</sub>2 Reaction – Nucleophile

- In an S<sub>N</sub>2 reaction a **good nucleophile** is essential – component of the rate equation
- Just as steric effects are important in the substrate, they are also important in the nucleophile.
- A less bulky nucleophile will result in a less congested transition state and a faster reaction due to better overlap of the nucleophile lone pair with the C-LG σ\* orbital




When Nuc = small → fast reaction  
When Nuc = large / bulky → slower reaction



# The S<sub>N</sub>2 Reaction – Nucleophilicity

- Consider the following table of relative nucleophilicities in EtOH (polar protic solvent):

	Species	Relative Nucleophilicity	Name	
Best Nucleophiles 	NC <sup>-</sup>	125000	cyanide	Excellent nucleophiles
	HS <sup>-</sup>	125000	thiolate	
	I <sup>-</sup>	80000	iodide	
	HO <sup>-</sup>	16000	hydroxide	Good nucleophiles
	Br <sup>-</sup>	10000	bromide	
	N <sub>3</sub> <sup>-</sup>	8000	azide	
	NH <sub>3</sub>	8000	ammonia	
	NO <sub>2</sub> <sup>-</sup>	5000	nitrite	Average nucleophiles
	Cl <sup>-</sup>	1000	chloride	
	CH <sub>3</sub> CO <sub>2</sub> <sup>-</sup>	650	acetate	
	F <sup>-</sup>	80	fluoride	
	CH <sub>3</sub> OH	1	methanol	
	H <sub>2</sub> O	1	water	
Poorer Nucleophiles				

# The S<sub>N</sub>2 Reaction – Nucleophilicity

- In reality, nucleophilicity is difficult to quantify on a single scale and is affected by the choice of solvent. However, we can identify some general trends that are useful in identifying the best nucleophiles for a S<sub>N</sub>2 reaction in EtOH (polar protic solvent)

Increasing Nucleophilicity →

Halides	$\ominus \text{:}\ddot{\text{F}}\text{:}$	$\ominus \text{:}\ddot{\text{Cl}}\text{:}$	$\ominus \text{:}\ddot{\text{Br}}\text{:}$	$\ominus \text{:}\ddot{\text{I}}\text{:}$
<hr/>				
Anion vs neutral nucleophiles		$\text{:NH}_3$	$\ominus \text{:}\ddot{\text{NH}}_2$	
		$\text{H}_2\ddot{\text{S}}\text{:}$	$\ominus \text{:}\ddot{\text{SH}}\text{:}$	
		$\text{H}_2\ddot{\text{O}}\text{:}$	$\ominus \text{:}\ddot{\text{OH}}\text{:}$	
<hr/>				
Across a period of the periodic table		$\ominus \text{:}\ddot{\text{O}}\text{H}$	$\ominus \text{:}\ddot{\text{O}}\text{R}$	$\ominus \text{:}\ddot{\text{N}}\text{H}_2$
<hr/>				
Down a group of the periodic table		$\text{:}\ddot{\text{O}}\text{H}_2$	$\text{:}\ddot{\text{S}}\text{H}_2$	$\text{:}\ddot{\text{Se}}\text{H}_2$
		$\text{:NR}_3$		$\text{:PR}_3$

Some of the best nucleophiles are:

1) based on atoms with large atomic radii  
- diffuse orbitals where electrons are far from positively charged nucleus resulting in high energy HOMO

2) negatively charged - electron rich


For S<sub>N</sub>2 reaction a strong or moderate nucleophile is required for reaction

strong nucleophiles include:  
RS<sup>-</sup>, I<sup>-</sup>, R<sub>2</sub>N<sup>-</sup>, R<sub>2</sub>NH<sup>-</sup>, RO<sup>-</sup>, NC<sup>-</sup>, N<sub>3</sub><sup>-</sup>

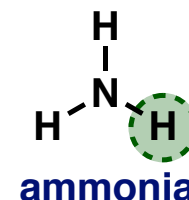
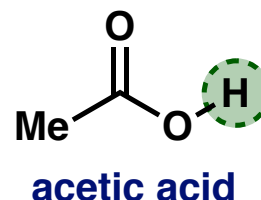
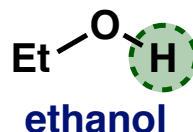
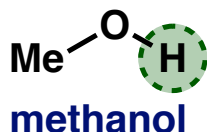
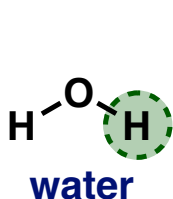
moderate nucleophiles include:  
RSH, Br<sup>-</sup>, RCO<sub>2</sub><sup>-</sup>

# The S<sub>N</sub>2 Reaction – Solvent

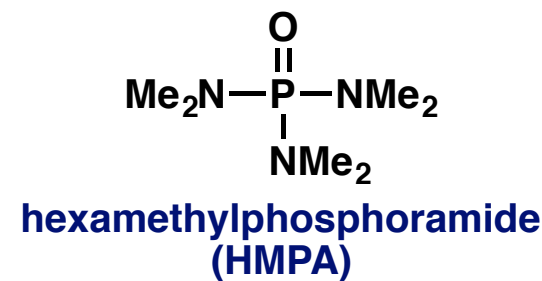
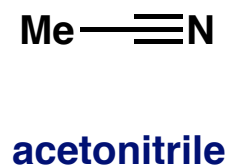
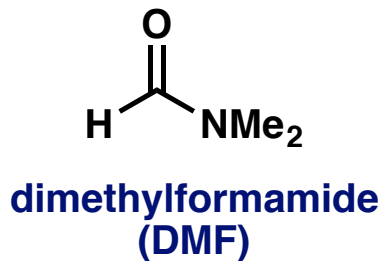
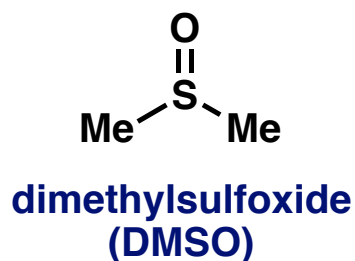
- Consider the following relative rates of an S<sub>N</sub>2 reaction in different solvents:

Solvent	CH <sub>3</sub> OH	H <sub>2</sub> O	DMSO	DMF	CH <sub>3</sub> CN
	Polar Protic	Polar Protic	Polar Aprotic	Polar Aprotic	Polar Aprotic
Relative rate	1	7	1300	2800	5000
<div style="text-align: center;">  </div>					
Increasing Reaction Rate					

- Polar protic solvents contain at least one hydrogen atom connected directly to an electronegative atom

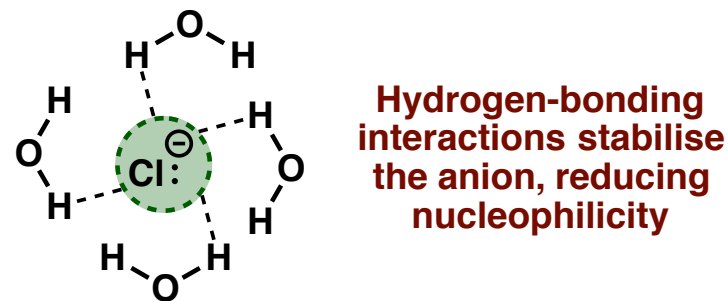
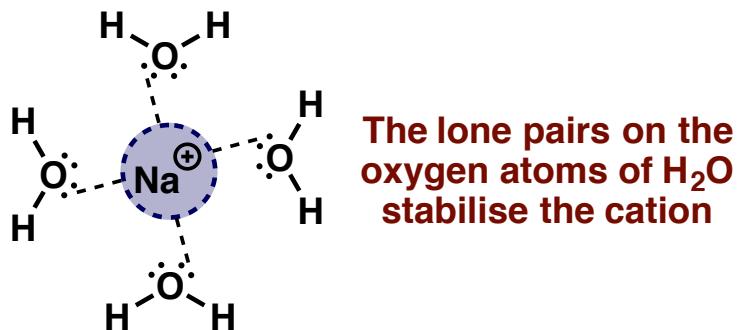


- Polar aprotic solvents contain no hydrogen atoms connected directly to an electronegative atom

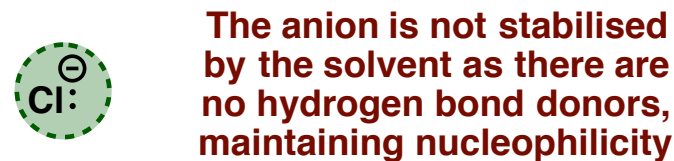
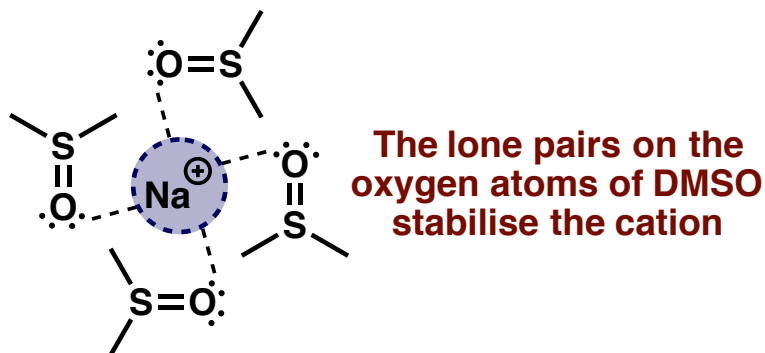


# The S<sub>N</sub>2 Reaction – Solvent

- For S<sub>N</sub>2 reactions, polar aprotic solvents give the fastest reactions. **Polar** – for solubility of substrate and nucleophile, **aprotic** – due to solvation effects
- In polar protic solvents, cations and anions are both solvated, reducing the energy of the nucleophile HOMO (**decreased nucleophilicity**)




- In polar aprotic solvents, only cations are solvated, maintaining the energy and hence nucleophilicity of the anion.



# The S<sub>N</sub>2 Reaction – Leaving Group

- A good leaving group is the conjugate base of a strong acid – i.e. acids with low pK<sub>a</sub>

**Stronger acids**  
**Most stable conjugate base**  
**(low pK<sub>a</sub>)**



**Weaker acids**  
**Least stable conjugate base**  
**(high pK<sub>a</sub>)**

Acid	pK <sub>a</sub>	Conjugate Base / Leaving Group	Name	
HI	-10	I <sup>-</sup>	Iodide	Good Leaving Groups
HBr	-9	Br <sup>-</sup>	Bromide	
HCl	-8	Cl <sup>-</sup>	Chloride	
HOSO <sub>2</sub> R	-3	<sup>-</sup> OSO <sub>2</sub> R	Sulfonate	
H <sub>3</sub> O <sup>+</sup>	-1.7	H <sub>2</sub> O	Water	
HF	+3.2	F <sup>-</sup>	Fluoride	Bad Leaving Groups
H <sub>2</sub> S	+7.0	HS <sup>-</sup>	Thiolate	
HCN	+9.4	<sup>-</sup> CN	Cyanide	
H <sub>2</sub> O	+15.7	<sup>-</sup> OH	Hydroxide	
HOCH <sub>2</sub> CH <sub>3</sub>	+15.9	<sup>-</sup> OCH <sub>2</sub> CH <sub>3</sub>	Ethoxide	
HOR	+16 to +18	<sup>-</sup> OR	Alkoxide	

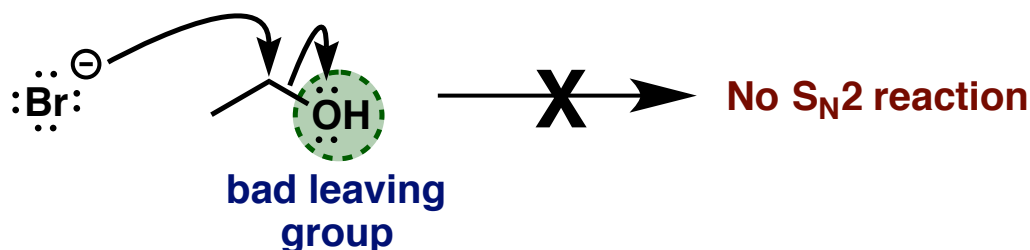


Reminder: acids and bases  
Unit 1, Lecture 9

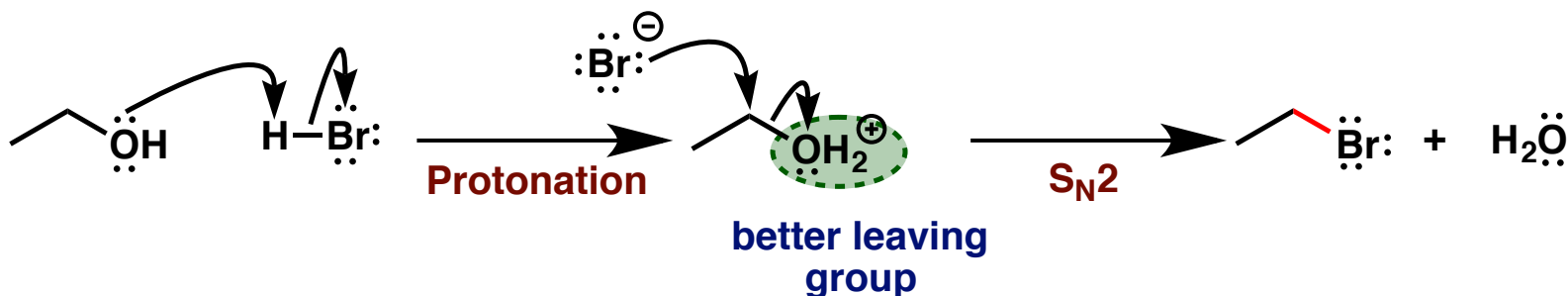


# The S<sub>N</sub>2 Reaction – Leaving Group

- A good leaving group is the conjugate base of a strong acid – i.e. acids with low pK<sub>a</sub>
- If we have a bad leaving group the S<sub>N</sub>2 reaction will either be slow or not occur at all



- If we have a bad leaving group, we must change it into a better one

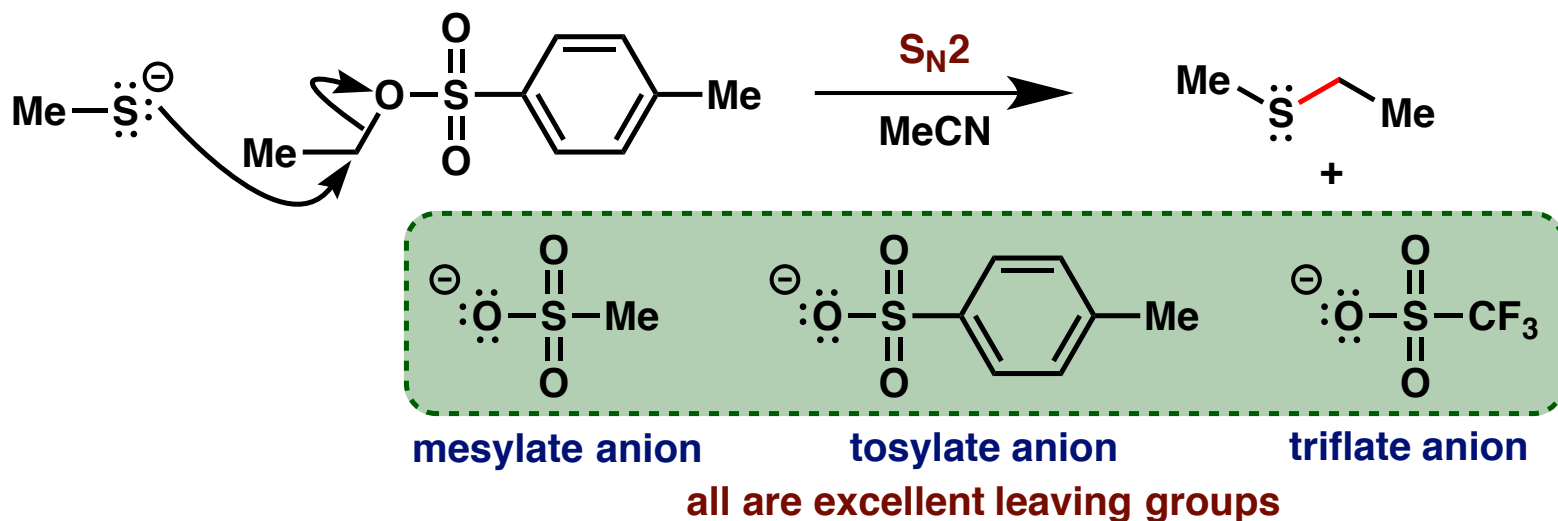
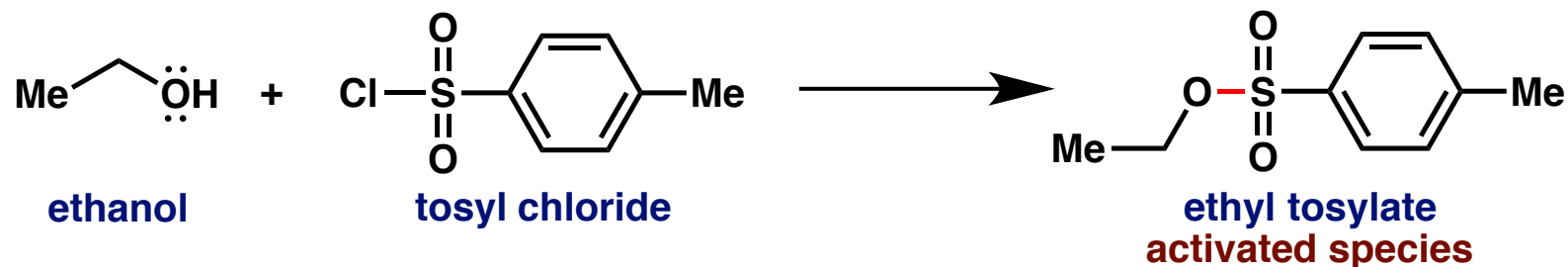


Reminder: Curly Arrows  
Unit 2, Lecture 3



# The S<sub>N</sub>2 Reaction – Leaving Group

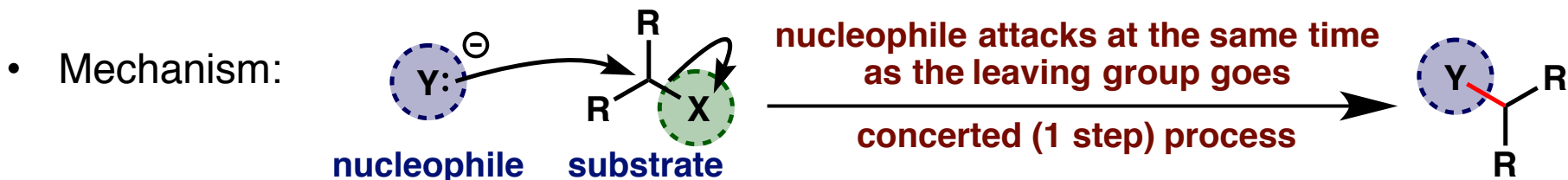
- Another good way of making a good leaving group from a hydroxyl is forming a **sulfonate ester**



- Always remember, if the site of S<sub>N</sub>2 reaction is a stereogenic centre, the reaction will proceed with **inversion of configuration**

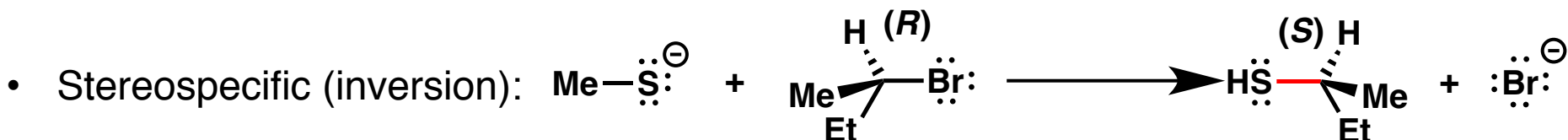
# The S<sub>N</sub>2 Reaction – Cheat Sheet

- For the S<sub>N</sub>2 reaction, you must remember the following key information



Rate Law:

$$\text{Rate} = \frac{d[\text{Products}]}{dt} = k_{\text{obs}}[\text{nucleophile}]^1[\text{substrate}]^1$$



- Factors that favour an S<sub>N</sub>2 mechanism:

## Substrate

methyl, primary - good  
 allylic, benzylic - good  
 secondary - moderate  
 tertiary - bad

## Nucleophile

highly nucleophilic,  
 unhindered, often  
 negatively charged  
 e.g. NC<sup>−</sup>, RS<sup>−</sup>, I<sup>−</sup>, N<sub>3</sub><sup>−</sup>

## Solvent

polar aprotic  
 e.g. DMSO, DMF  
 acetonitrile,  
 acetone

## Leaving Group

highly stabilised /  
 conjugate acid  
 has a low pK<sub>a</sub> value  
 e.g. I<sup>−</sup>, Br<sup>−</sup>, <sup>−</sup>OSO<sub>2</sub>R

# Lecture 4: Introduction to Substitution Reaction – S<sub>N</sub>2

## Key learning objectives:

- Know the difference between the possible mechanisms for nucleophilic substitution at saturated carbon – S<sub>N</sub>2 and S<sub>N</sub>1
- Understand what makes a good leaving group
- The rate law for a S<sub>N</sub>2 reaction
- The free energy diagram for a S<sub>N</sub>2 reaction
- The curly arrow pushing mechanism, molecular orbital analysis, transition state and stereochemical outcome of a S<sub>N</sub>2 reaction
- The factors that favour a S<sub>N</sub>2 mechanism including the nature of the substrate, nucleophile, solvent and leaving group

# Lecture 4 Revision

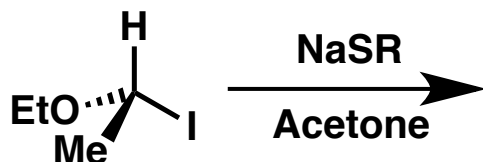
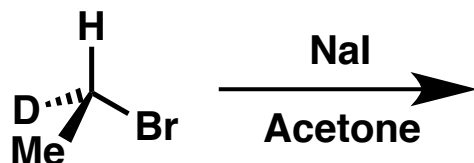
**To reinforce your understanding of the contents of this lecture, please refer to:**

- *Organic Chemistry 2<sup>nd</sup> Ed.* (J. Clayden et al.) Chapter 15 pp. 328-359.
- Practice questions provided on the next two slides.
- Online practice questions <http://www.oxfordtextbooks.co.uk/orc/clayden2e/>  
Username: clayden2e Password: compound
- Online practice questions <http://www.chem.ox.ac.uk/vrchemistry/iom/#>
- CH4103 Online Test 4
- CH4103 Workshop 2

# Lecture 4 Practice Questions / Guided Self-Study

For further practice, attempt the following questions in your own time:

- Q1) What are the stereochemical outcomes of these  $S_N2$  reactions? Assign *R* or *S* descriptors to the starting material and product in each case



- Q2) Draw a curly arrow pushing mechanism for the following reaction. Is this reaction slower or faster than the same process with ethyl bromide. Why?



## Lecture 4 Practice Questions / Guided Self-Study

For further practice, attempt the following questions in your own time:

- Q3) Draw curly arrow pushing mechanisms for all steps to form the products shown.

